

## Biocompatible, Nanostructured, Chiral Polyesteramides: PNOBDME (C<sub>34</sub>H<sub>38</sub>N<sub>2</sub>O<sub>6</sub>)<sub>n</sub> and PNOBEE (C<sub>26</sub>H<sub>22</sub>N<sub>2</sub>O<sub>6</sub>)<sub>n</sub> Synthesized and Characterised as Cholesteric Liquid Crystals.

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### ABSTRACT

Two new multifunctional polyesteramides designed as PNOBDME (C<sub>34</sub>H<sub>38</sub>N<sub>2</sub>O<sub>6</sub>)<sub>n</sub>: poly[oxy(1,2-dodecane)-oxy-carbonyl-1,4-phenylene-amine-carbonyl-1,4-phenylene-carbonyl-amine-1,4-phenylene-carbonyl] and PNOBEE (C<sub>26</sub>H<sub>22</sub>N<sub>2</sub>O<sub>6</sub>)<sub>n</sub>: poly [oxy(1,2-buthylene)-oxy-carbonyl-1,4-phenylene-amine-carbonyl-1,4-phenylene-carbonyl-amine-1,4-phenylene-carbonyl], are synthesized as cholesteric liquid crystal, characterized by <sup>1</sup>H and <sup>13</sup>C-NMR, COSY and HSQC and compared to precursor polyesters. Molecular models show helical polymeric chains with stereo regular head-tail, isotactic structure, explained as due to the higher reactivity of the primary hydroxyl with respect to the secondary one in the glycol through the polycondensation reaction. The two <sup>1</sup>H independent sets of signals observed for each enantiomer is attributed to two diastereomeric conformers: *gg* and *gt*, of the torsion containing the asymmetric carbon atom in the spacer. Thermal behavior of the new compounds is studied by TG and DSC analysis. Optical rotatory dispersion (ORD) is evaluated. Morphology of powdered PNOBDME exhibits homogeneous spherical clusters of about 5 μm in diameter homogeneously dispersed. When dispersed in solution, their helical molecules self-organize on metal and semiconductor surfaces. By dip coating technique both polymers self-organize in round nanoclusters about 5 nm thick, observed by AFM, after 3h and 21h grown on Si(100) substrate, deposited in conglomerates about 300 nm, 35 nm high. Both synthetic polyesteramides have proved to be biocompatible and to act as non-viral vectors in Gene Therapy, transfecting DNA to the nucleus cell. The synthetic cholesteric liquid crystal polyesteramides described here are similar to new cationic cholesteric liquid crystal polyesters also synthesized in our lab.

**Keywords** – Cholesteric LC; New polyesteramides; Synthetic method; NMR; Molecular Modeling.

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### I. INTRODUCTION

Liquid crystals are self-organizing systems. With increasing temperature, they do not directly go from the crystalline state into the melt but, in the middle, they undergo a mesophase state which combines the order of perfect crystals and mobility of liquids [1]. The parallel orientation of their longitudinal molecular axes is common to all mesophases (long-range orientational order). Two major classes can be distinguished: nematic (with molecular centers distributed isotropically) and smectic (molecular centers organized in layers). The special array of nematic planes stacked in a helical superstructure with a prevalent screw direction is called the cholesteric mesophase.

Cholesteric liquid crystal polyesters have received much attention in the last few years for their interesting chemical, optical, mechanical and biological properties. Due to their anisotropic formulation and amphiphilic nature, their molecules are able to self-organize and aggregate in blocks to form species with a supramolecular ordered structure which present desirable material properties.

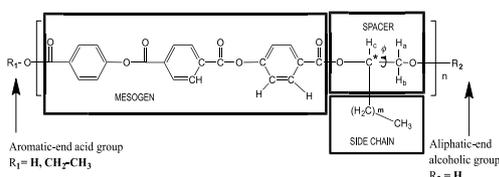
Chiral, cholesteric liquid crystal [C<sub>34</sub>H<sub>36</sub>O<sub>8</sub>]<sub>n</sub>, named PTOBDME, poly[terephthaloyl-4-bis-oxybenzoate-decamethyl-ethylene], m=9 in Fig. 1, was obtained by polycondensation reaction between terephthaloyl-bis-(4-oxybenzoyl chloride) (TOBC) and DL-1,2 dodecanediol. Although only racemic materials were used in the synthesis, a cholesteric, chiral morphology, theoretically unexpected, was found. Evidence of this was obtained when a white solid, recrystallized, as the second fraction, from toluene mother liquor after the filtration of the polymer, identified as -PTOBDME, with [α]<sub>589</sub><sup>25</sup> = -1.43 [1.538 gr/100ml, toluene] [2, 3]. The synthetic method [4, 5], based on the previously reported by Bilibin [6, 7], leads to the obtention of two or more fractions with progressively enriched diastereomeric excess, [2]. Not always the diastereomer in excess is the same

A similar result had been previously attained for liquid crystal PTOBEE [C<sub>26</sub>H<sub>20</sub>O<sub>8</sub>]<sub>n</sub>, m=1 in Fig. 1, also with cholesteric mesophase, obtained from the polycondensation reaction between the racemic glycol DL-1,2-butanediol and TOBC [4], with a similar mesogen as PTOBDME

but with ethyl group along the side chain. Its second fraction was isolated as -PTOBEE, with a value of  $[\alpha]_D^{25} = -2.33$  [0.0056 mol/l, toluene]. Its structure and diastereomeric excess had been characterized by NMR [8].

Both polymers had shown interesting technological properties. They behave both as thermotropic and lyotropic; self-assemble in nanocavities in solution, with different conformations depending on the solvent and on the concentration [3]; get adsorbed on metal surfaces with reordering of the polymer in the interface [9] and interact with biomacromolecules [10, 11, 12, 13].

Our main interest is the molecular design and chemical modifications of multifunctional cholesteric liquid crystals PTOBDME and PTOBEE, in order to obtain new chemical formulations involving new properties, holding the precursor helical macromolecular structure.



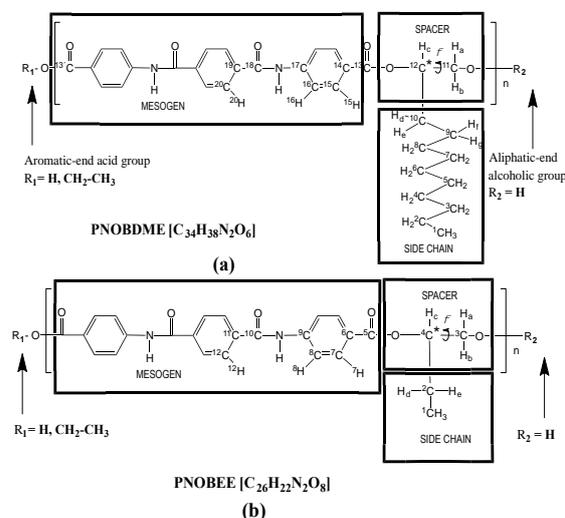
**Figure 1.** The monomeric unit of cholesteric liquid-crystalline PTOBEE ( $m=1$ ) and PTOBDME ( $m=9$ ). The three different zones of the monomer: mesogen, spacer and flexible side chain are indicated. The asterisk indicates the chiral center. Aromatic-end acid and aliphatic-end alcoholic groups are also specified.

The first feature we considered was introducing a cationic charge in the formulation of precursor PTOBDME and PTOBEE monomers, by the entrance of nitrogen atoms, giving secondary structures with long-range supramolecular order, able to interact with molecules of interest. The functional groups selected to be introduced are amide groups para-substituting the two ester groups in the central benzene ring of the terephthalate unit, along the main chain.

The final formulations of the new monomers, called PNOBDME and PNOBEE, are shown in Fig. 2(a) and 2(b) respectively. The hydrogen and carbon atoms have been numbered as precursors PTOBDME [2, 3] and PTOBEE [4, 8], respectively.

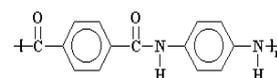
We report, the synthesis of polyesteramides PNOBDME  $[C_{34}H_{38}N_2O_6]_n$  and PNOBEE  $(C_{26}H_{22}N_2O_8)_n$ . The structure of the polymers so obtained is confirmed by  $^1H$ ,  $^{13}C$ , COSY and HSQC NMR. The spectra are quite similar to those of precursor PTOBDME and PTOBEE, as it was expected, and the shifts were assigned according to

our previous notation [2] and [8]. Their thermal stability is studied by TG and DSC analysis.



**Figure 2.** The monomeric unit of poly(esteramide)s: (a) PNOBDME; (b) PNOBEE. The asterisk indicates the chiral center ( $^{12}C^*$ ) in PNOBDME and ( $^4C^*$ ) in PNOBEE. Torsion angle  $\varphi$ , along  $^{11}C-^{12}C^*$  bond and  $^3C-^4C^*$  bond, respectively, are indicated.

Their mesogens keep some similarities with Kevlar, Scheme 1, wholly aromatic polyamide, difficult to process because it's not soluble in typical solvents [14]. Instead, PNOBDME and PNOBEE contain flexible aliphatic chains that would decrease their stiffness.



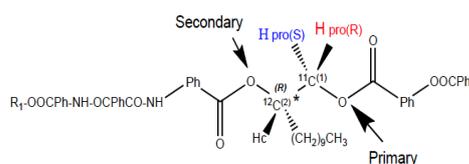
**Scheme 1:** Kevlar monomer

The intermediate compound of the monomeric mesogen, designed as NOBA ( $C_{22}H_{16}N_2O_6$ ), (I in Fig. 4), was referred in the National Cancer Institute Databases with potential AIDS and cancer activity [15].

#### MOLECULAR MECHANICS SIMULATION OF PNOBDME.

The structural fragment including a chiral secondary alcohol and a primary alcohol group (a beta-chiral 1,2 diol) is particularly interesting since it is present in many relevant natural products, such as: sugars, nucleosides, glycerides [16] and designed chiral nanostructures from helical polymers and metallic salts [17].

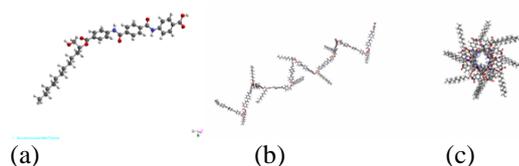
In our case, the fragment in the spacer of PNOBDME including the secondary alcohol group, bonded to chiral  $^{12}C^*$ , and the primary alcohol, bonded to pro-chiral  $^{11}C$ , is shown in Scheme 2 for the R enantiomer of  $^{12}C^*$ .



**Scheme 2.** Fragment in the spacer including the two alcohol groups

Molecular Mechanics, predict helical structure models for PNOBDME and PNOBEE, as formulated in Fig. 2. Instead, no helical polymer models were attained in the computational calculations when the amide group enters along the lateral side chain.

Molecular Mechanics modeling of PNOBDME monomer was developed with Materials Studio Windows v.2019 [18]. COMPASS-II Force Field was loaded, both atomic mass and charge were considered. The monomer model is shown in Fig.3(a), with its geometry optimized by minimizing the energy to 95 Kcal/mol. Monomer polymerization was simulated by considering the  $^{11}\text{C}$  atom, in Fig. 2(a), as the head atom, and the O atom bonded to  $^{13}\text{C}$ , as the tail atom, being  $^{12}\text{C}^*$  the chiral center. Homopolymerization was then simulated with Head-to-Tail orientation, torsion angle between monomers fixed to  $180^\circ$ . Syndiotactic tacticity was finally imposed on the polymer chain. A helical polymer model along the main chain was obtained, Fig. 3(b), whose perpendicular cross section appears in Fig. 3(c).



**Figure 3.** Molecular simulation of PNOBDME: (a) Minimum energy monomer; (b) Syndiotactic [PNOBDME]<sub>10</sub>; (c) Cross-sectional view.

## I. MATERIALS AND METHODS

### 2.1. Materials

#### 2.1.1. Synthesis of PNOBDME [Poly[oxy(1,2-dodecane)-oxy-carbonyl-1,4-phenylene-amine-carbonyl-1,4-phenylene-carbonyl-amine-1,4-phenylene-carbonyl], $(\text{C}_{34}\text{H}_{38}\text{N}_2\text{O}_6)_n$

The new synthetic way is based on our previous experience for the obtention of cholesteric PTOBDME and a method by Sek et al [19, 20] reported for polycondensation reaction to obtain polyesteramides. In this case, we obtained an

intermediate acid chloride yield lower than the obtained for the precursor polyesters.

PNOBDME, III in Fig. 4, was obtained through polycondensation reaction between 4-4'-(terephthaloyl- diaminedibenzoic chloride) NOBC, II in Fig. 4, and the racemic mixture of DL-1,2-dodecanediol. Similar notation to precursor cholesteric liquid crystal PTOBDME [2, 3] obtained by a similar method, has been used.

**Starting materials:** Terephthaloyl chloride from Sigma-Aldrich Chemie GmbH (Steinheim, Germany); Carbon tetrachloride from Panreac Química (Montcada i Rexach, Barcelona, Spain); NaOH from Panreac Química (Montcada i Rexach, Barcelona, Spain); 4-Aminobenzoic acid from Sigma-Aldrich Chemie GmbH (Steinheim, Germany); Hydrochloric acid from Normapur VWRInternational (Fontenay-sous-Bois, France); Thionyl chloride from Sigma-Aldrich Chemie GmbH (Steinheim, Germany); Chloroform from SDS Votre Partenaire Chimie (Peypin, France); DL-1,2-dodecanediol from Flucka Chemie GmbH (Buchs, Switzerland); Diphenyl oxide from Sigma-Aldrich Chemie GmbH (Steinheim, Germany); Nitrogen from Praxair (Madrid, Spain); Toluene from Merck KGaA (Darmstadt, Germany).

#### 2.1.1.1 Preparation of NOBA (I in Fig. 4).

Solutions of 0,1 mol terephthaloyl chloride in 200 ml carbon tetrachloride and 0,2 mol NaOH in water, were added while stirring at room temperature in the course of 15 minutes, to a solution 0,22 mol of 4-Aminobenzoic acid and 0,2 mol NaOH, in 400 ml water mili-Q grade. Stirring was continued for a further 12 hr. Sediment was separated out, filtered, washed several times with 40 ml of cold water, dried, comminuted and transferred to a vessel where it was mixed for 3 hours with 300 ml of hydrochloric acid. The product was filtered, washed several times with 40 ml of cold water, dried and comminuted.

Yield 28 gr (70%).

#### 2.1.1.2 Preparation of NOBC (II in Fig. 4).

In the course of 25 minutes, 15 gr NOBA was added to 350 ml thionyl chloride, while stirring rapidly at room temperature. The solution was boiled with the reflux condenser. When the liberation of HCl ended and most of the sediment had dissolved, the hot solution was filtered and cooled down to  $0^\circ\text{C}$  for a day. The obtained product that separated out was filtered, vacuum dried and recrystallized in chloroform.

Yield: 7,2 gr (48%).

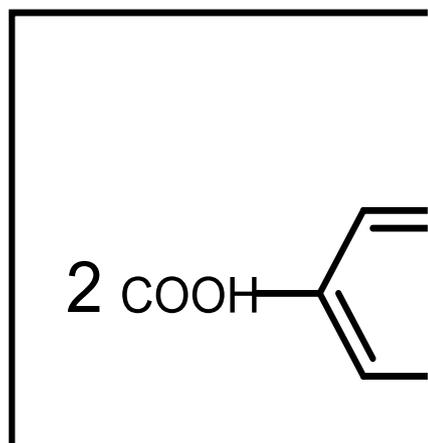


Figure 4. Scheme of polycondensation reaction of PNOBDME

#### 2.1.1.3 Preparation of PNOBDME.

A mixture of 0,017 mol NOBC and 0,017 mol DL-1,2-dodecanediol, was added to 44 ml of diphenyl oxide. Purge with dry nitrogen, was used for 25 min at room temperature and then, while maintaining the gas current, the flask was transferred to a bath containing a high-temperature heat-transfer agent. The polycondensation was carried out for 3 hr. 30 min. at 200°C. The reaction finished when the liberation of HCl ended. The result of the polycondensation reaction was poured into 500 ml of toluene, decanting PNOBDME, which was filtered, washed three times with ethanol and vacuum dried. The second fraction of PNOBDME precipitated of the filtrated toluene after 22 weeks which also filtered, washed with ethanol and vacuum dried. Yield first fraction: 2,6 gr (25,5%); Yield first and second fraction: 3,1 gr (30,4%).

#### 2.1.2. Synthesis of PNOBEE [Poly[oxy(1,2-buthylene)-oxy-carbonyl-1,4-phenylene-amine-carbonyl- 1,4-phenylene-carbonyl-amine- 1,4-phenylene-carbonyl]: (C<sub>26</sub>H<sub>22</sub>N<sub>2</sub>O<sub>6</sub>)<sub>n</sub>.

PNOBEE, III in Fig. 5, was obtained through polycondensation reaction between NOBC (4-4'-terephthaloyldiaminedibenzoic chloride), II in Fig. 5, and the racemic mixture of DL-1,2-butanediol. Notation of cholesteric liquid crystal precursor PTOBEE has been used. NOBC was synthesized by the reaction between NOBA, 4-4'-(terephthaloyldiaminedibenzoic acid), I in Fig. 5, and SOCl<sub>2</sub>, and recrystallized in chloroform; previously NOBA was obtained by interface

condensation between terephthaloyl chloride and 4-Aminobenzoic acid.

*Starting Materials:* DL-1,2-butanediol from Flucka Chemie GmbH (Buchs, Switzerland); Chloronaphthalene from Sigma-Aldrich Chemie GmbH (Steinheim, Germany); Nitrogen from Praxair (Madrid, Spain); Toluene from Merck KGaA (Darmstadt, Germany).



Figure 5. Scheme of polycondensation reaction of PNOBEE.

#### 2.1.2.1 Preparation of PNOBEE.

A mixture of 0,015 mol NOBC and 0,015 mol DL-1,2-butanediol, was added to 39 ml of chloronaphthalene. Purge with dry nitrogen, was used for 25 min at room temperature and then, while maintaining the gas current, the flask was transferred to a bath containing a high-temperature heat-transfer agent. The polycondensation was carried out for 180 minutes at 200°C. The reaction finished when the liberation of HCl ended. The result of the polycondensation reaction was poured into 500 ml of toluene, decanting PNOBEE, which was filtered, washed with ethanol and vacuum dried. The second fraction of PNOBEE precipitated of the filtrated toluene after 22 weeks which also filtered, washed with ethanol and vacuum dried. Yield: 2,9 gr (46,5 %).

#### 2.2. Characterization Techniques.

The structures of NOBA and NOBC (I and II in Fig. 4 and Fig. 5) are confirmed by conventional NMR techniques: <sup>1</sup>H-RMN, <sup>13</sup>C-NMR, COSY (Homocuclear Correlation Spectroscopy), TOCSY (Total Correlation Spectroscopy), NOESY (Nuclear Overhauser Effect Spectroscopy, through-space correlation method) and HSQC (Heteronuclear Single-Quantum Correlation spectroscopy) registered in DMSO-d<sub>6</sub> at 25°C in a Bruker 300MHz NMR spectrometer.

The structures of PNOBDME and PNOBEE have been confirmed by  $^1\text{H}$ -RMN,  $^{13}\text{C}$ -NMR, COSY, HSQC and HMBC (Heteronuclear Multiple Bond Correlation for correlations between carbons and protons that are separated by two, three, and, sometimes four bonds, in conjugated systems. Direct one-bond correlations being suppressed) obtained in VARIAN 400 MHz and 500 MHz spectrometers, also at room temperature. The solvent used in all cases was DMSO- $d_6$  from Merck KGaA (Darmstadt, Germany).  $^1\text{H}$  chemical shifts were referenced to the residual solvent signal at  $\delta = 2.50$  ppm (DMSO- $d_6$ ) relative to tetramethylsilane (TMS). All the spectra were processed and analyzed with MestReNova v.11.0.3. software [21, 22].

Thermal stability measurements were studied on a Mettler TA4000-TG50 at a heating rate of  $10^\circ\text{C}/\text{min}$  with nitrogen purge between  $30$ - $600^\circ\text{C}$ . Thermal behavior was determined by differential scanning calorimetry (DSC) in a Mettler TA4000/DSC30/TC11 calorimeter, with a series of heating/cooling cycles in a temperature range between  $0$ - $230^\circ\text{C}$ .

Microcalorimetry was evaluated in a MicroCal Inc., Model: MCS-DSC, within a range of temperature  $4$ - $120^\circ\text{C}$ , at a heating rate of  $10$ - $20^\circ\text{C}/\text{hora}$ , a volume of sample  $1,5$  ml.

The optical activity of the polymer was measured as optical rotatory dispersion (ORD) at  $25^\circ\text{C}$  in DMSO from Scharlau Chemie, in a Perkin Elmer 241 MC polarimeter with wavelengths:  $\lambda_{\text{Na}} = 589$  nm, slit = 5 mm, integration time = 50 s;  $\lambda_{\text{Hg}} = 574$  nm, slit = 14 mm, integration time = 50s;  $\lambda_{\text{Hg}} = 546$  nm, slit = 30 mm, integration time = 50 s;  $\lambda_{\text{Hg}} = 435$  nm, slit = 5 mm, integration time = 50s;  $\lambda_{\text{Hg}} = 365$  nm, slit = 2.5 mm, integration time = 50 s.

The morphology of the samples was observed by Environmental Scanning Electron Microscope (ESEM), PHILIPS XL30.

### III. RESULTS AND DISCUSSION.

#### 3.1. Structural characterization of NOBA and NOBC by NMR.

##### • $^1\text{H}$ -NMR of NOBA in DMSO- $d_6$ at $25^\circ\text{C}$ .

Fig. 6(a) shows the  $^1\text{H}$ -NMR spectrum of NOBA. The chemical shifts were assigned, according to the notation in Fig. 2(a) and Fig. 4-I, as follows.

$\delta$  (in ppm from tetramethylsilane):  $12.8$  ( $12.74$ ) (s, 2H,  $\text{C}^{13}\text{COOH}$ );  $10.7$  ( $10.31$ ) (s, 2H,  $\text{C}^{18}\text{CONH}$ );  $8.11$  ( $8.18$ ) (s, 4H,  $\text{C}^{20}\text{H}$ );  $7.95$  ( $8.30$ ) (m, 4H,  $\text{C}^{16}\text{H}$ );  $7.95$  (m, 4H,  $\text{C}^{15}\text{H}$ ). Predicted values are depicted tilted in parenthesis.

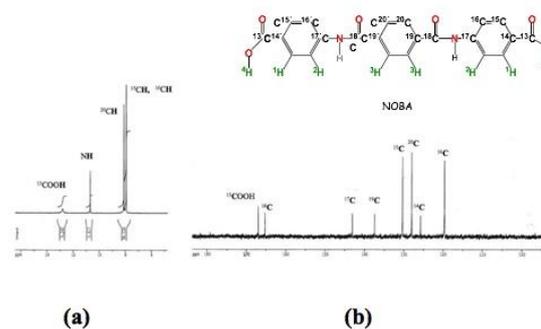


Figure 6. (a)  $^1\text{H}$ - NMR Spectrum of NOBA; (b)  $^{13}\text{C}$ -NMR Spectrum of NOBA.

Besides the protons due to ended carboxylic groups, and to amide groups, two strong signals are observed in the aromatic zone of the  $^1\text{H}$ -NMR spectrum of NOBA, for to the benzene protons,  $^{20}\text{C}$ ,  $^{15}\text{C}$ , and  $^{16}\text{C}$ . The last two indistinguishable at  $7.95$  ppm.

The experimental results agree with the chemical shifts calculated by MestReNova v.11.0.3 [21, 22].

##### • $^{13}\text{C}$ -NMR of NOBA in DMSO- $d_6$ at $25^\circ\text{C}$ .

The  $^{13}\text{C}$ -NMR of NOBA is given in Fig. 6(b). Their experimental chemical shifts are in complete agreement with those predicted (tilted in parenthesis).

$\delta$  (in ppm from tetramethylsilane):  $167.3$  ( $169.3$ ) ( $2\text{C }^{13}\text{COOH}$ );  $165.6$  ( $164.7$ ) ( $2\text{C }^{18}\text{CONH}$ );  $143.4$  ( $143.2$ ) ( $2\text{C NH-}^{17}\text{C}$ );  $137.7$  ( $134.2$ ) ( $2\text{C }^{19}\text{C}$ );  $130.6$  ( $130.5$ ) ( $4\text{C }^{15}\text{CH}$ );  $128.3$  ( $127.6$ ) ( $4\text{C }^{20}\text{CH}$ );  $126.1$  ( $125.8$ ) ( $2\text{C }^{14}\text{C-COOH}$ );  $119.9$  ( $115.8$ ) ( $4\text{C }^{16}\text{CH}$ ).

##### • COSY OF NOBA in DMSO- $d_6$ at $25^\circ\text{C}$ .

The assignments of peaks in the COSY spectrum of NOBA are given in Table 1.

Table 1. COSY-NMR Assignment for NOBA

	$^1\text{H}$	$^{20}\text{H}$	$^{15}\text{H}, ^{16}\text{H}$
$^1\text{H}$		8.11	7.95
$^{20}\text{H}$	8.11	*	
$^{15}\text{H}, ^{16}\text{H}$	7.95		*

##### • HSQC of NOBA in DMSO- $d_6$ at $25^\circ\text{C}$ :

The HSQC experiment (heteronuclear single quantum correlation) of NOBA appears in Fig.7, with the corresponding peaks assigned in Table 2.

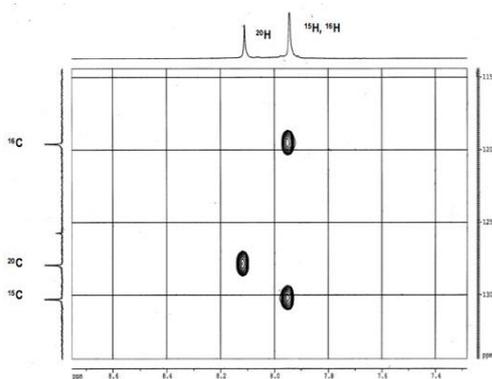


Figure 7. HSQC of NOBA

Table 2. HSQC signals of NOBA.

	<sup>1</sup> H-NMR	<sup>20</sup> H	<sup>15</sup> H, <sup>16</sup> H
<sup>13</sup> C-NMR		8.11	7.95
<sup>15</sup> C	130.6		*
<sup>20</sup> C	128.3	*	
<sup>16</sup> C	119.9		*

Correlations between each aromatic C atom with their bonded H atoms can be confirmed. Signals due to <sup>15</sup>H and <sup>16</sup>H, overlapped in the <sup>1</sup>H spectra, can be differentiated by HSQC, and assigned to carbon atoms <sup>15</sup>C and <sup>16</sup>C respectively.

The asymmetric shape of both protons allows their differentiation. While <sup>16</sup>H slightly shifts towards lower ppm value, <sup>15</sup>H shifts to higher ppm. The integral ratio of aromatic peaks, (<sup>15</sup>H+<sup>16</sup>H)/<sup>20</sup>H, is observed to be 2:1 in the <sup>1</sup>H spectrum, in agreement with the HSQC experiment. The structure of NOBC (Fig. 4-II), has also been confirmed by NMR experiments. Data are not given as they do not provide further information than NOBA to the present contribution.

### 3.2. Structural characterization of polyesteramide PNOBDME by NMR.

The structure of PNOBDME, as described in Fig. 2(a), has been characterized by <sup>1</sup>H-NM, <sup>13</sup>C-NMR, COSY, TOCSY, NOESY, and HSQC, in DMSO-d<sub>6</sub>. In Fig. 8(a) the <sup>1</sup>H- NMR spectrum is given, compared to that of PTOBDME, in Fig. 8(b). Fig. 9 exhibits the <sup>13</sup>C-NMR spectrum.

#### • <sup>1</sup>H-NMR: (400MHz, DMSO- d<sub>6</sub>, 25°C)

δ (ppm) 10,7 (s, 2H C<sub>18</sub>ONH-C); 10,45 (m, 2H C<sub>18</sub>ONH-C); 8,14 (s, 4H, HNCO-C-C<sub>20</sub>H-C-CONH); 7,98 (m, 4H, COO-C-C<sub>15</sub>H-CH-C-NHCO); 7,98 (m, 4H, COO-C-CH-C<sub>16</sub>H-CNHC); 5,39 (m 1H, C=OO-CH<sub>a</sub>H<sub>b</sub>-C<sub>12</sub>H<sub>c</sub>-R<sub>1</sub>R<sub>2</sub>); 5,22 (m 1H, C=OO-CH<sub>a</sub>H<sub>b</sub>-C<sub>12</sub>H<sub>c</sub>-R<sub>1</sub>R<sub>2</sub>); 4,54 (dd 1H, J=12 Hz J=4Hz C=OO-C<sub>11</sub>H<sub>a</sub>H<sub>b</sub>-CH<sup>•</sup>-R<sub>1</sub>R<sub>2</sub>); 4,44 (dd 1H, J=11,5 Hz J= 8Hz C=OO-C<sub>11</sub>H<sub>a</sub>H<sub>b</sub>-CH<sup>•</sup>-R<sub>1</sub>R<sub>2</sub>); 3,91 (dd 1H, J= 12Hz J=4Hz C=OO-C<sub>11</sub>H<sub>a</sub>H<sub>b</sub>-CH-R<sub>1</sub>R<sub>2</sub>); 3,83 (dd 1H, J= 12Hz J=5Hz C=OO-C<sub>11</sub>H<sub>a</sub>H<sub>b</sub>-CH-R<sub>1</sub>R<sub>2</sub>); 1,85 (m 2H, CH<sub>2</sub>-C<sub>10</sub>H<sub>2</sub>-CH-R<sub>1</sub>R<sub>2</sub>); 1,74 (m 2H, CH<sub>2</sub>-C<sub>10</sub>H<sub>2</sub>-CH<sup>•</sup>-R<sub>1</sub>R<sub>2</sub>); 1,50 (m 2H, CH<sub>2</sub>-C<sub>9</sub>H<sub>2</sub>-CH-R<sub>1</sub>R<sub>2</sub>); 1,40 (m 2H, CH<sub>2</sub>-C<sub>9</sub>H<sub>2</sub>-CH<sup>•</sup>-R<sub>1</sub>R<sub>2</sub>); 1,24 (m 14H, C<sub>8</sub>H<sub>2</sub>-C<sub>7</sub>H<sub>2</sub>-C<sub>6</sub>H<sub>2</sub>-C<sub>5</sub>H<sub>2</sub>-C<sub>4</sub>H<sub>2</sub>-C<sub>3</sub>H<sub>2</sub>-C<sub>2</sub>H<sub>2</sub>-CH<sub>3</sub>); 1,21 (m 14H, C<sub>8</sub>H<sub>2</sub>-C<sub>7</sub>H<sub>2</sub>-C<sub>6</sub>H<sub>2</sub>-C<sub>5</sub>H<sub>2</sub>-C<sub>4</sub>H<sub>2</sub>-C<sub>3</sub>H<sub>2</sub>-C<sub>2</sub>H<sub>2</sub>-CH<sub>3</sub>); 0,86 (t 3H, CH<sub>2</sub>-C<sub>1</sub>H<sub>3</sub>); 0,82 (t 3H, CH<sub>2</sub>-C<sub>1</sub>H<sub>3</sub>).

#### • <sup>13</sup>C-NMR: (400MHz, DMSO- d<sub>6</sub>, 25°C)

δ (ppm) 165,2 (2C C<sub>13</sub>=OO o 2xC C<sub>18</sub>=ONH); 137,4 ( 2C HNCO-C-CH-CH-C<sub>19</sub>-CONH); 130,3 (4C C=ONH-C-CH-C<sub>15</sub>H-C-COO); 130,1 (4C C=ONH-C-CH-C<sub>15</sub>H-C-COO); 128,7 (4C C=ONH-C-CH-C<sub>20</sub>H-C-C=ONH); 128,0 (4C C=ONH-C-CH-C<sub>20</sub>H-C-C=ONH); 119,6 (4C C=ONH-C-C<sub>16</sub>H-CH-C-COOH); 119,4 (4C C=ONH-C-C<sub>16</sub>H-CH-C-COOH); 31,3 ( 1C R1R2CH-C<sub>10</sub>H<sub>2</sub>CH<sub>2</sub>); 29,0 28,8, 28,7 and 28,4 ( 5C C<sub>9</sub>H<sub>2</sub>-C<sub>8</sub>H<sub>2</sub> C<sub>7</sub>H<sub>2</sub>-C<sub>6</sub>H<sub>2</sub>-C<sub>5</sub>H<sub>2</sub>-C<sub>4</sub>H<sub>2</sub> -CH<sub>2</sub>-CH<sub>2</sub>- CH<sub>3</sub>); 25,6 22,12 (2C C<sub>3</sub>H<sub>2</sub>-C<sub>2</sub>H<sub>2</sub>-CH<sub>3</sub>); 14,0 (1C C<sub>1</sub>H<sub>3</sub>).

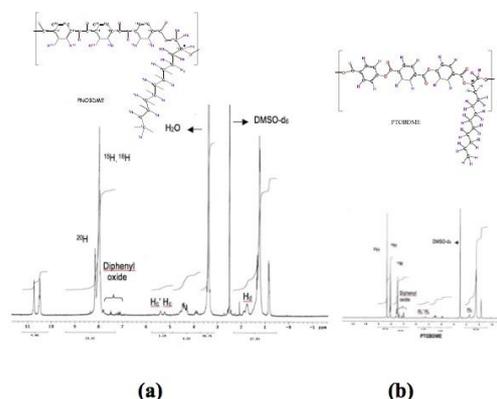


Figure 8. (a) <sup>1</sup>H- NMR Spectrum of PNOBDME. Peaks between 7- 7.8 are due to solvent impurities, diphenyl oxide, used in the synthetic process; (b) <sup>1</sup>H- NMR Spectrum of PTOBDME.

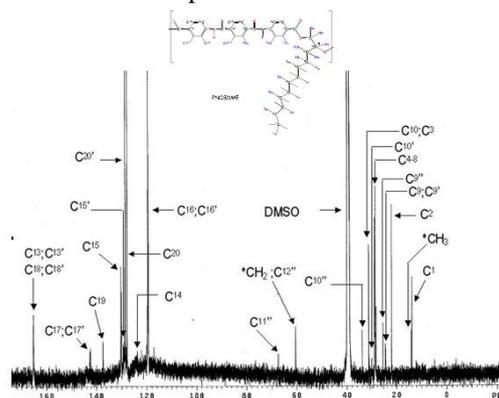


Figure 9. <sup>13</sup>C- NMR Spectrum of polyesteramide PNOBDME.

The complete assignment of the <sup>1</sup>H and <sup>13</sup>C-NMR chemical shifts for the monomeric unit and the end groups of PNOBDME are given in Table 3, together with their theoretical values calculated by

MestReNova [21, 22]. Similar notations as those assigned to precursor cholesteric liquid crystal polyesters PTOBDME [2] have been used.

The proton spectrum can be divided into three zones corresponding to the mesogen, including aromatic and amide protons between 11.0 - 7.00 ppm, the flexible side chain formed with aliphatic protons, between 2.0 - 0.5 ppm, detailed in Fig. 8(a), and the spacer with the CH<sub>n</sub> protons directly attachment to O atoms, between 5.5 - 3.5 ppm.

The main feature of the proton spectrum is the presence of a higher number of peaks than those expected for the monomeric unit. The tetrahedral carbon atom <sup>13</sup>C, allocated in α with respect to the asymmetric carbon atom <sup>12</sup>C\*, is referred as *prochiral* since it can be converted to a chiral center by arbitrarily changing only one attached H group to a deuterium atom (D has a higher priority than H). Depending on the configuration, R/S, of the so created chiral center, the H atom ideally deuterated, is labelled as *pro-R/S*. The two hydrogen atoms on the prochiral <sup>11</sup>C carbon atom, H<sub>a</sub> and H<sub>b</sub>, can be described as *prochiral hydrogens*. Prochiral hydrogens can be also designated as diastereotopic, their indistinguishable <sup>1</sup>H-NMR signals, splitting in two signals easily differentiated. The same effect is observed for H<sub>d</sub> and H<sub>e</sub>, bonded to prochiral <sup>10</sup>C, and for H<sub>f</sub> and H<sub>g</sub>, both bonded to prochiral <sup>9</sup>C.

The presence of two independent <sup>1</sup>H-NMR sets of signals is observed in the spectrum, one marked with one apostrophe (') and another without it ( ). They are attributed to two conformers gg and gt of the spacer within the repeating unit respectively. The same effect has been reported for PTOBDME and PTOBEE, and accordingly similar nomenclature is used to identify the signals. The third set of signals, marked with double apostrophe (''), is assigned to the aliphatic end group.

The main difference of the <sup>1</sup>H-NMR spectra of PNOBDME with respect to the observed for polyester PTOBDME lays in the aromatic zone due to the presence of terephthalamide in the central ring instead of terephthalate. Two peaks at 10.71 ppm and 10.48 ppm, are designated as protons NH and N'H', theoretically expected at 10.73, splitting here either by the presence of two diastereoisomers, or by differences between the two amides environment within the monomer.

In the same mesogen zone two strong peaks, also observed in NOBA, are present in polyesteramide PNOBDME at 8.13 ppm due to benzene protons <sup>20</sup>H, and a multiplet centered at 7.95 ppm (broad and overlapped) assigned to aromatic protons (<sup>15</sup>H + <sup>16</sup>H) respectively, matching respectively the calculated values (8.18 ppm for <sup>20</sup>H) and (7.98 ppm for <sup>15</sup>H and 7.95 ppm for <sup>16</sup>H). However, the proportion between integrals of both signals is 1:5, instead of 1:2. On the contrary, the

three benzene protons are clearly distinguished in the case of polyester PTOBDME, see Fig. 8(b).

Detail of the zone corresponding to the spacer in the <sup>1</sup>H spectrum of PNOBDME can be seen in Fig. 10(b). Multiplets observed at 5.39 and 5.22 ppm, are interpreted as protons H<sub>c</sub>', H<sub>c</sub> of the two diastereoisomers, being the diastereoisomer excess easily evaluated by their integral ratio. The peak observed at 4.35 ppm is, designated as H<sub>c</sub>' in the aliphatic-OH end group. A triplet at 4.25 ppm, is assigned to the OCH<sub>2</sub>CH<sub>3</sub> ester in the aromatic end group.

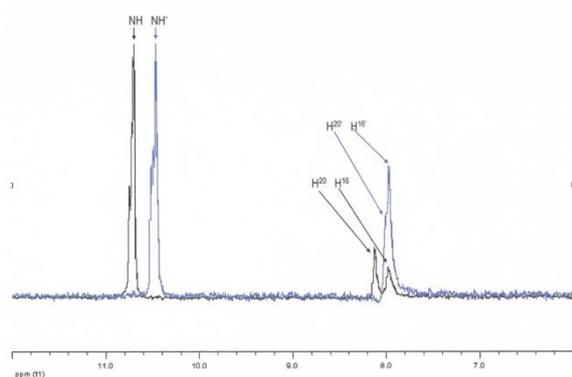
Table 3. <sup>1</sup>H and <sup>13</sup>C-NMR Chemical Shifts (ppm) observed for chiral polyesteramide PNOBDME and theoretically calculated values.

System ( ' )		System ( )		Theoretical Shifts							
Atom	<sup>1</sup> H	<sup>13</sup> C	Atom	<sup>1</sup> H	<sup>13</sup> C						
<sup>20</sup> H	8.03	<sup>13</sup> C	128.7	<sup>20</sup> H	8.13	<sup>13</sup> C	127.9	<sup>20</sup> H	8.18	<sup>13</sup> C	127.6
		<sup>13</sup> C	142.4			<sup>13</sup> C	137.2;139			<sup>13</sup> C	134.2
N'H'	10.48	<sup>13</sup> C	165.3	NH	10.71	<sup>13</sup> C	165.3	NH	10.25	<sup>13</sup> C	164.7
		<sup>13</sup> C	144.2			<sup>13</sup> C	144.2			<sup>13</sup> C	142.2
<sup>15</sup> H	7.975	<sup>13</sup> C	119.7	<sup>16</sup> H	7.985	<sup>13</sup> C	119.6	<sup>15</sup> H	7.95	<sup>13</sup> C	118.7
<sup>16</sup> H	7.962	<sup>13</sup> C	129.8	<sup>15</sup> H	8.00	<sup>13</sup> C	130.3	<sup>16</sup> H	7.98	<sup>13</sup> C	130.1
		<sup>13</sup> C	124.7			<sup>13</sup> C	123.5			<sup>13</sup> C	125.7
		<sup>13</sup> C	165.0			<sup>13</sup> C	165.0			<sup>13</sup> C	165.9
H <sub>c</sub> '	5.39	<sup>13</sup> C	73.2	H <sub>c</sub>	5.22	<sup>13</sup> C	73.7	H <sub>c</sub>	4.55	<sup>13</sup> C	73.6
H <sub>a</sub> 'H <sub>b</sub> '	4.54;4.44	<sup>13</sup> C	65.8	H <sub>a</sub> H <sub>b</sub>	3.91;3.83	<sup>13</sup> C	46.7	H <sub>a</sub> H <sub>b</sub>	4.80;4.55	<sup>13</sup> C	64.3
H <sub>a</sub> 'H <sub>b</sub> '	1.79	<sup>13</sup> C	30.7	H <sub>a</sub> H <sub>b</sub>	1.74	<sup>13</sup> C	31.8	H <sub>a</sub> H <sub>b</sub>	1.71	<sup>13</sup> C	30.7
H <sub>d</sub> 'H <sub>e</sub> '	1.42	<sup>13</sup> C	24.6	H <sub>d</sub> H <sub>e</sub>	1.34	<sup>13</sup> C	24.2	H <sub>d</sub> H <sub>e</sub>	1.29	<sup>13</sup> C	25.3
<sup>8,9</sup> H	1.25	<sup>13</sup> C	28.6	<sup>8,9</sup> H	1.25	<sup>13</sup> C	28.6	<sup>8,9</sup> H	1.29	<sup>13</sup> C	29.6
<sup>10</sup> H	1.25	<sup>13</sup> C	28.4	<sup>10</sup> H	1.25	<sup>13</sup> C	28.4	<sup>10</sup> H	1.26	<sup>13</sup> C	29.3
<sup>11</sup> H	1.25	<sup>13</sup> C	31.3	<sup>11</sup> H	1.25	<sup>13</sup> C	31.3	<sup>11</sup> H	1.26	<sup>13</sup> C	31.9
<sup>12</sup> H	1.25	<sup>13</sup> C	22.1	<sup>12</sup> H	1.25	<sup>13</sup> C	22.1	<sup>12</sup> H	1.26	<sup>13</sup> C	22.7
<sup>13</sup> H	0.85	<sup>13</sup> C	13.9	<sup>13</sup> H	0.86	<sup>13</sup> C	13.9	<sup>13</sup> H	0.88	<sup>13</sup> C	14.1
<b>Experimental signals aliphatic end group</b>						<b>Theoretical Shifts End group ( ' ' )</b>					
H <sub>c</sub> '	4.35	<sup>13</sup> C	60.4	H <sub>c</sub> '	3.81	<sup>13</sup> C	70.8				
H <sub>a</sub> 'H <sub>b</sub> '	4.54;4.44	<sup>13</sup> C	67.5	H <sub>a</sub> 'H <sub>b</sub> '	4.53;4.28	<sup>13</sup> C	70.8				
H <sub>d</sub> 'H <sub>e</sub> '	1.89;1.77	<sup>13</sup> C	34.6	H <sub>d</sub> 'H <sub>e</sub> '	1.44	<sup>13</sup> C	34.0				
H <sub>f</sub> 'H <sub>g</sub> '	1.51;1.42	<sup>13</sup> C	26.0	H <sub>f</sub> 'H <sub>g</sub> '	1.29	<sup>13</sup> C	25.6				
<sup>8,9</sup> H	1.21	<sup>13</sup> C	28.6	<sup>8,9</sup> H	1.29	<sup>13</sup> C	29.6				
<sup>10</sup> H	1.21	<sup>13</sup> C	28.4	<sup>10</sup> H	1.26	<sup>13</sup> C	29.3				
<sup>11</sup> H	1.21	<sup>13</sup> C	31.3	<sup>11</sup> H	1.26	<sup>13</sup> C	31.8				
<sup>12</sup> H	1.21	<sup>13</sup> C	22.1	<sup>12</sup> H	1.26	<sup>13</sup> C	22.7				
<sup>13</sup> H	0.85	<sup>13</sup> C	13.9	<sup>13</sup> H	0.86	<sup>13</sup> C	14.1				
<b>Experimental aromatic ester end group signals</b>											
CH <sub>2</sub> *	4.25			CH <sub>2</sub> *	60.6						
CH <sub>3</sub> **	1.33			CH <sub>3</sub> **	14.2						

In a similar way to H<sub>c</sub> protons, three independent pairs of H<sub>a</sub>H<sub>b</sub> signals are also observed, due to the splitting of diastereotopic hydrogen atoms. Two for the monomer, marked with an apostrophe (') and without it ( ), and one for the aliphatic-OH end group, marked with (''). The double doublet at 4.54 ppm and the very complex signal at 4.44 ppm are attributed to H<sub>a</sub>' and H<sub>b</sub>' respectively, and the pair of double doublets at 3.91, 3.83 ppm are interpreted as H<sub>a</sub> and H<sub>b</sub>. The signal at 4.44 would be formed by one double doublet overlapped with a pair of double doublets.

The integral ratio between peaks H<sub>c</sub>' (5.39 ppm) and H<sub>a</sub>' (4.54 ppm) gives a ratio 1:1. Peaks H<sub>c</sub> (5.22 ppm), H<sub>a</sub> (3.91 ppm) and H<sub>b</sub> (3.83 ppm) present a ratio 1:1:1. The TOCSY experiment will confirm these assignments and will resolve the overlapped signal at 4.44 ppm. The aliphatic zone, in





**Figure 12.**  $^1\text{D-NOESY}$  of PNOBDME, blue irradiated at 10.48 and black irradiated at 10.71.

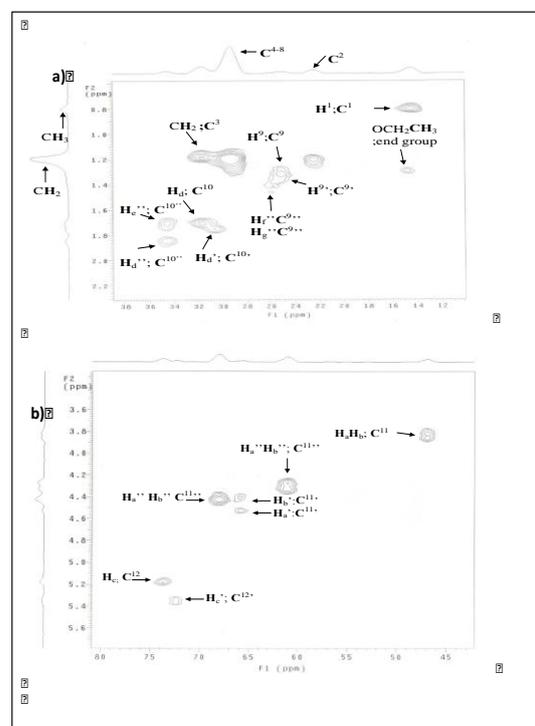
Multiplet around 1.44 can be solved by distinguishing correlation between protons  $\text{H}_f, \text{H}_g'$  (1.42 ppm) with carbon  $^{13}\text{C}$  (24.6 ppm) and  $\text{H}_f, \text{H}_g$  (1.34 ppm) with  $^{13}\text{C}$  (24.2 ppm). Additionally, carbon  $^{13}\text{C}$  (26.0 ppm) also correlates with  $\text{H}_f''$  (1.51 ppm) and  $\text{H}_g''$  (1.42 ppm), in agreement with the TOCSY results. In the aromatic zone, the assignment of  $^{20}\text{H}$  (8.03 ppm) and  $^{20}\text{H}$  (8.13 ppm) is confirmed and these signals presented correlation with  $^{20}\text{C}$  (128.7 ppm) and  $^{20}\text{C}$  (127.9 ppm) respectively. Two broaden correlations are observed between  $^{15}\text{H}$  (8.0 ppm) and  $^{15}\text{C}$  (130.3 ppm) and between  $^{16}\text{H}$  (7.980 ppm) and  $^{16}\text{C}$  (119.6 ppm).

In HMBC experiment, Fig. 14, with correlations carbon-proton separated by two, three, and, even four bonds apart, the HSQC broaden correlations between aromatic  $^{15}\text{H}$  and  $^{15}\text{C}$ , and  $^{16}\text{H}$  and  $^{16}\text{C}$  can be resolved and related to the sets of signals with apostrophe ( ' ) and without it ( ). Additionally, carbonyl and quaternary carbons atoms are also confirmed by HMBC.

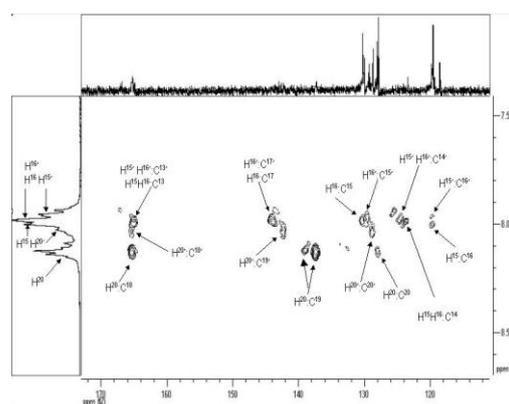
In HMBC, the following cross signals are observed:  $^{16}\text{C}$  (119.7 ppm) correlates with  $\text{NH}$  (10.71 ppm) and also with  $^{15}\text{H}$  (8.00 ppm); Signal  $^{16}\text{C}$  (119.6 ppm) causes correlation with  $\text{N}'\text{H}'$  (10.48 ppm) and also with  $^{15}\text{H}$  (7.962 ppm); Signal  $^{15}\text{H}$  (8.00 ppm) correlates with  $^{14}\text{C}$  (123.5 ppm) and with  $^{17}\text{C}$  (144.2 ppm); Signal  $^{16}\text{H}$  (7.985 ppm) correlates with  $^{14}\text{C}$  (123.5 ppm) and  $^{17}\text{C}$  (144.2 ppm) and  $^{15}\text{C}$  (130.3 ppm); Signal  $^{15}\text{H}$  (7.962 ppm) also presented another correlation with  $^{14}\text{C}$  (124.7 ppm).

Correlation between  $^{16}\text{H}$  signals (7.975 ppm) and  $^{15}\text{C}$  (129.6 ppm) was confirmed and another cross signals of  $^{16}\text{H}$  with  $^{14}\text{C}$  (124.7 ppm);  $^{16}\text{H}$  also showed correlation with  $^{17}\text{C}$  (144.2 ppm) as  $^{16}\text{H}$ ;  $^{20}\text{H}$  (8.13 ppm) correlates with  $^{20}\text{C}$  (127.9 ppm) and with two carbon atoms at  $^{19}\text{C}$  (137.2 ppm) and (139.1 ppm). It also correlates with  $^{18}\text{C}$  (165.3 ppm);  $^{20}\text{H}$  at 8.03 exhibits correlation with  $^{20}\text{C}$  (128.7 ppm), with  $^{19}\text{C}$  (142.35 ppm) and  $^{18}\text{C}$  (165.3 ppm), the last carbon atom not resolved; The signal at 165.3 ppm, also showed two more cross signal with

$\text{NH}$  at 10.71 ppm and  $\text{NH}'$  at 10.48 ppm;  $^{13}\text{C}$  carbonyl carbon was observed at 165.0 with broadening and not resolved cross signal with  $\text{H}^{16}$ ,  $\text{H}^{16}$ ,  $\text{H}^{15}$ ,  $\text{H}^{15}$ . Carbonyl carbon of ester aromatic end group was observed at 167.0.



**Figure 13.** HSQC-NMR experiment of PNOBDME: (a) Detail of aliphatic flexible side chain; (b) A detail of the spacer zone.



**Figure 14.** HMBC experiment of PNOBDME.

### 3.3. Structural characterization of polyesteramide PNOBEE by NMR.

The structure of PNOBEE, as designed in Fig. 2(b), has been characterized by  $^1\text{H-NMR}$ ,  $^{13}\text{C-NMR}$ , COSY, TOCSY, NOESY and HSQC, in  $\text{DMSO-d}_6$ .

The complete assignment of the  $^1\text{H}$  and  $^{13}\text{C-NMR}$  chemical shifts for the monomeric unit and the end groups of PNOBEE, resolved in a similar way to

that of PNOBDME, is summarized in Table 4, together with their theoretical values calculated by MestReNova [19, 20]. Similar notations to those assigned to precursor cholesteric liquid crystal polyesters PTOBEE have been used [4, 8].

In the <sup>1</sup>H-NMR spectrum of PNOBEE, the two amidic protons NH and N'H are observed as singlets at 10.48 ppm and 10.72 ppm, respectively. At 8.14 ppm and 8.03 ppm, aromatic protons <sup>12</sup>H and <sup>12</sup>'H are identified, while <sup>7</sup>H and <sup>8</sup>H signal are broadly overlapped at 7.98 ppm, similarly to PNOBDME. The integral relation between signals at 8.14 ppm and 7.98 ppm is 1:3 instead of 1:2, since the signal at 8.03 ppm is partially overlapped with the signal at 7.98. The complete assignment of these signals was realized with other NMR experiments.

In the proton spacer zone, two multiplets observed at 5.32 ppm and 5.15 ppm, are assigned to protons H<sub>c</sub>' and H<sub>c</sub>, respectively, within the monomeric unit, according to the terminology previously used for PNOBDME. A double doublet at 4.55 ppm is assigned to H<sub>a</sub>'. A broad and overlapped signal centered at 4.50 ppm should correspond with overlapping of double doublet H<sub>b</sub>' and the double doublets H<sub>a</sub>''H<sub>b</sub>'' in the aliphatic end-group. A multiplet observed at 4.32 ppm is assigned to H<sub>c</sub>'' of the aliphatic end group and quadruplet at 4.28 ppm to CH<sub>2</sub> of ethyl ester end group. Finally, double doublet at 3.93 ppm and 3.87 ppm are assigned to H<sub>a</sub> and H<sub>b</sub>.

The observed aliphatic signals corresponding with the lateral side chain are a multiplet at 1.89 ppm and a broaden overlapped signal at 1.78 ppm interpreted as H<sub>d</sub>, totally assigned with the help of COSY and TOCSY experiments. Triplet at 1.33 ppm is assigned to methyl or ethyl ester end group. While triplets at 1.03, 1.01 and 0.92 corresponding to H<sub>d</sub> were also assigned with COSY and TOCSY experiments to H<sub>e</sub>'', H<sub>e</sub>' and H<sub>e</sub>, respectively.

In the COSY experiment, the expected correlation between <sup>12</sup>H (8.14 ppm) and <sup>8</sup>H (7.99 ppm) is overlapped and it is indistinguishable. Signal H<sub>c</sub>' (5.32 ppm) shows correlation with H<sub>a</sub>' (4.55 ppm), with signal H<sub>b</sub>' (4.47 ppm) and with signal H<sub>d</sub>' (1.80 ppm). Signal H<sub>c</sub> (5.15 ppm) correlates with H<sub>a</sub> (3.93 ppm), H<sub>b</sub> (3.87 ppm) and with H<sub>d</sub> (1.78 ppm). The signal corresponding to H<sub>c</sub>'' (4.35 ppm) correlates with H<sub>d</sub>'' (1.95 ppm), with H<sub>e</sub>'' (1.76 ppm) and with H<sub>a</sub>''H<sub>b</sub>'' overlapped at (4.47 ppm). The signal at 4.28 ppm due to CH<sub>2</sub> ethyl in ester end group shows correlation with triplet at 1.33 ppm due to CH<sub>3</sub> in the same end group. Signal H<sub>d</sub>'' (1.95 ppm) and H<sub>e</sub>'' (1.76 ppm) show correlation with H<sub>f</sub>'' (1.03 ppm). In the TOCSY spectrum, of the aromatic zone correlation of amidic proton NH (10.72 ppm) with <sup>12</sup>H (8.14 ppm) and <sup>8</sup>H (7.99 ppm) is observed. Also between amidic proton

N'H (10.48 ppm) and the overlapped signal of <sup>12</sup>'H (8.03 ppm) and <sup>8</sup>'H (7.99 ppm).

Table 4. <sup>1</sup>H and <sup>13</sup>C-NMR Chemical Shifts (ppm) observed and calculated for the repeating unit and aliphatic end group of chiral polyesteramide PNOBEE.

Set of signal of systems (') and (')				Set of signals system without apostrophe ( )				Calculated chemical shifts	
Atom	<sup>1</sup> H(ppm)	Atom	<sup>13</sup> C(ppm)	Atom	<sup>1</sup> H(ppm)	Atom	<sup>13</sup> C(ppm)	Atom	Atom
	DMSO		DMSO		DMSO		DMSO		
<sup>12</sup> H	8.03	<sup>12</sup> C	129.2	<sup>12</sup> H	8.14	<sup>12</sup> C	128.4		<sup>12</sup> C
		<sup>13</sup> C	129.4			<sup>13</sup> C	131.6		
NH'	10.48	<sup>10</sup> C	163.3	NH	10.72	<sup>10</sup> C	163.3		10.25
		<sup>9</sup> C	143.2			<sup>9</sup> C	142.8		140.1
<sup>8</sup> H	7.99	<sup>8</sup> C	119.9	<sup>8</sup> H	7.99	<sup>8</sup> C	120.1		7.95
<sup>7</sup> H	7.98	<sup>7</sup> C	130.8	<sup>7</sup> H	7.98	<sup>7</sup> C	130.8		7.88
		<sup>6</sup> C	128.7			<sup>6</sup> C	125.8		125.7
		<sup>5</sup> C				<sup>5</sup> C			165.9
H <sub>c</sub> '	5.32	<sup>4</sup> C	73.0	H <sub>c</sub>	5.15	<sup>4</sup> C	74.2		4.55
H <sub>a</sub> 'H <sub>b</sub> '	4.55	<sup>3</sup> C		H <sub>a</sub> H <sub>b</sub>	3.93, 3.87	<sup>3</sup> C	46.5		4.80, 4.55
H <sub>d</sub> '	1.80	<sup>2</sup> C	24.0	H <sub>d</sub>	1.78	<sup>2</sup> C	24.9		1.75
H <sub>e</sub> '	1.01	<sup>1</sup> C	10.0	H <sub>e</sub>	0.92	<sup>1</sup> C	9.9		0.96
									7.8
Experimental signals aliphatic end group					Theoretical Shifts End group (')				
H <sub>c</sub> ''	4.32	<sup>4</sup> C	62.5						3.81
H <sub>a</sub> ''	4.47 *	<sup>3</sup> C	67.7						4.53, 4.28
H <sub>d</sub> ''	1.95	<sup>2</sup> C	27.9						1.48
H <sub>e</sub> ''	1.03*	<sup>1</sup> C	11.1						0.96

\* Overlapped signal  
□ End group O-CH<sub>2</sub>-CH<sub>3</sub>: 4.28 ppm (<sup>1</sup>H-NMR) and 60.2 ppm (<sup>13</sup>C-NMR); CH<sub>3</sub>: 1.33 ppm (<sup>1</sup>H-NMR) and 14.4 ppm (<sup>13</sup>C-NMR)

The signal H<sub>c</sub>' (5.32 ppm) correlates with H<sub>d</sub>' (1.80 ppm) and H<sub>e</sub>' (1.01 ppm). The set of signals of aliphatic-OH end group was also resolved by the correlation of H<sub>c</sub>'' (4.35 ppm) with H<sub>a</sub>'' H<sub>b</sub>'' (4.47 ppm), with H<sub>d</sub>'' (1.95 ppm), with H<sub>e</sub>'' (1.76 ppm) and with H<sub>f</sub>'' (1.03 ppm).

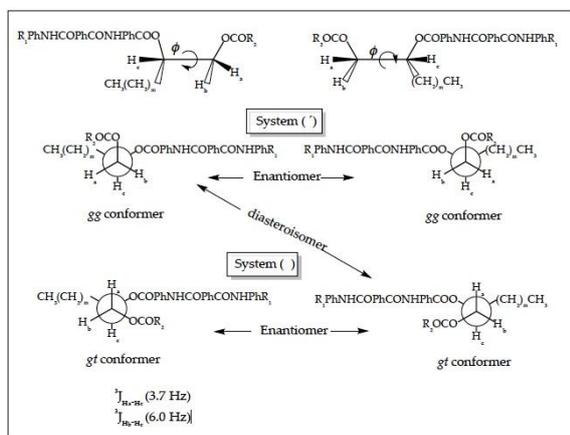
In the NOESY-2D experiment, the same correlations as in TOCSY-2D were observed, only correlation between H<sub>c</sub>' (5.35 ppm) with <sup>7</sup>H (7.98 ppm) and H<sub>b</sub>' (4.47 ppm) with <sup>8</sup>H (7.99 ppm). The correlations between H<sub>c</sub> (5.15 ppm) and <sup>8</sup>H and H<sub>a</sub> and H<sub>b</sub> with <sup>7</sup>H were not observed. This could be indicative of a closed structure for the system ( ' ' ) than for ( ) system.

After proton assignment, carbon atoms bonded directly to hydrogens were identified by the HSQC experiment. <sup>12</sup>C (129.2 ppm) was related with <sup>12</sup>H (8.03 ppm), and <sup>12</sup>C (128.4 ppm) with <sup>12</sup>H (8.14 ppm); <sup>8</sup>C (119.9 ppm) and <sup>8</sup>C (120.1 ppm) with <sup>8</sup>H and <sup>8</sup>H (7.98 ppm); <sup>7</sup>C (130.8 ppm) is related with <sup>7</sup>H (7.98 ppm); H<sub>c</sub>' (5.32 ppm) shows correlation with <sup>4</sup>C (73.0 ppm), while H<sub>c</sub> (5.15 ppm) with <sup>4</sup>C (74.2 ppm); H<sub>a</sub> (3.93 ppm) and H<sub>b</sub> (3.87 ppm) correlates with carbon <sup>3</sup>C (46.5 ppm). <sup>2</sup>C (24.9 ppm) is related with H<sub>d</sub> (1.78 ppm) and <sup>1</sup>C (9.9 ppm) with H<sub>e</sub> (0.92 ppm); <sup>1</sup>C (10.0 ppm) with H<sub>e</sub>' (1.01 ppm). Signals due to OH-aliphatic end group: H<sub>c</sub>'' (4.32 ppm) is related with <sup>4</sup>'C (62.5 ppm); H<sub>a</sub>''H<sub>b</sub>'' (4.47 ppm) with <sup>3</sup>'C (67.7 ppm); H<sub>d</sub>'' (1.95 ppm) and H<sub>e</sub>'' (1.76 ppm) with <sup>2</sup>'C (27.9 ppm) and H<sub>f</sub>'' (1.03 ppm) with <sup>1</sup>'C (11.1 ppm); signal due to ester ethyl group CH<sub>2</sub> (4.28 ppm) with <sup>13</sup>C (60.2 ppm) and CH<sub>3</sub>: <sup>1</sup>H (1.33 ppm) with <sup>1</sup>C (14.4 ppm).

### 3.4. Conformational analysis of PNOBDME and PNOBEE

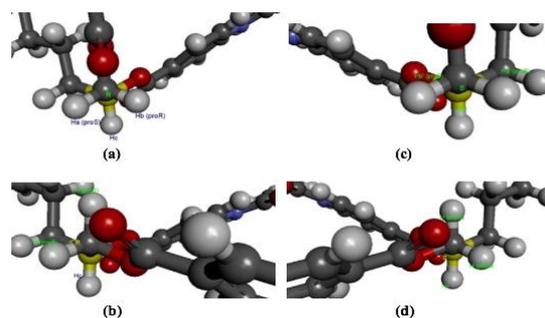
By using a Karplus type equation [23], the vicinal coupling constants  $^3J_{H_a-H_c}$  and  $^3J_{H_b-H_c}$  can be related with the two possible staggered conformers, *gg* and *gt* of torsion  $\phi$  along  $^{11}C-^{12}C^*$  bond in PNOBDME, and  $^3C-^4C^*$  in PNOBEE, along the polymer backbone, Fig. 15, being  $m=9$  for PNOBDME and  $m=1$  for PNOBEE. In the system designed without an apostrophe ( ), the coupling constants  $^3J_{H_a-H_c}$  (3.7 Hz) and  $^3J_{H_b-H_c}$  (6.0 Hz) indicate the preference for *gt* conformer.

In the system with an apostrophe ( ' ),  $^3J_{H_a'-H_c'}$  cannot be measured accurately because the peak is not resolved but it is low enough to let us assure the preference of the *gg* conformer;  $^3J_{H_b'-H_c'}$  cannot be measured due to the overlapping of  $H_b'$  signal with those of  $H_a''$  and  $H_b''$ . The existence of these two independent conformers had also been observed for PTOBDME and PTOBEE and it was also related with the presence of helical structures, the Cotton effect and the sign of the helicity in 1-2 di-O-benzoylated sn-glycerols [24-27]. The combination of a helix with two screw senses and the two absolute configurations by the presence of the asymmetric carbon atom, provide four diastereomeric structures, two pairs of enantiomers which would present two independent set of signals by NMR [28-32].



**Figure 15.** The relationship between the four helical conformations *gg* and *gt* of PNOBDME, and PNOBEE through the  $^{11}C-^{12}C^*$  bond, and  $^3C-^4C^*$  (torsion  $\phi$ ), respectively. The calculated vicinal coupling constant  $^3J_{H_a-H_c}$  and  $^3J_{H_b-H_c}$ .

Details of molecular models for *gg* and *gt* conformers of a dimer of PNOBDME are shown (Fig. 16), projected along the  $^{11}C-^{12}C^*$  bond, torsion  $\phi$ , (perpendicular to the paper) with  $^{12}C^*$  (bonded to  $H_c$ ) having R and S absolute configuration, in yellow, behind  $^{11}C$  (bonded to  $H_a$  and  $H_b$ ).

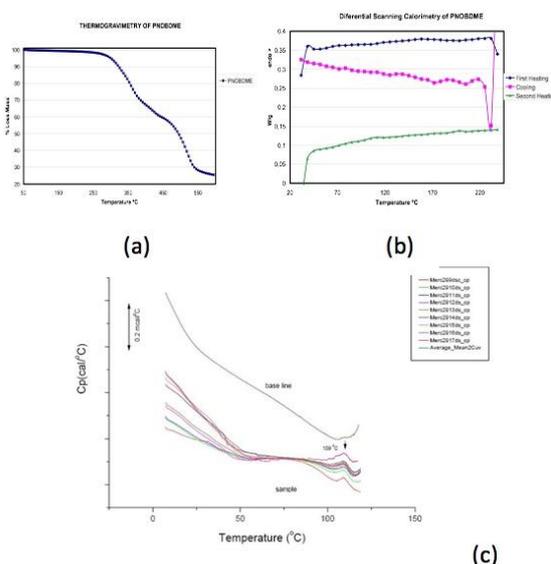


**Figure 16.** Molecular model details of a PNOBDME dimer. View along  $^{11}C-^{12}C^*$  bond (perpendicular to the paper), with (R) and (S) absolute configuration  $^{12}C^*$ , in yellow behind  $^{11}C$ , for: (a) Rgg-diastereoisomer; (b) Rgt-diastereoisomer; (c) Sgg-diastereoisomer; (d) Sgt-diastereoisomer.

### Thermal Behavior

#### 3.5.1. Thermal Stability and Differential Scanning Calorimetry of PNOBDME.

The presence of amide groups within the mesogen of PNOBDME causes a 10% weight loss at 310°C in the thermal gravimetric curve (Fig 17a) increasing of thermal stability range of precursor polyester PTOBDME, with the same weight loss percentage at 280°C [3].



**Figure 17.** (a) The thermogravimetric curve of PNOBDME, first fraction; (b) DSC analysis of PNOBDME first fraction; (c) Microcalorimetry of PNOBDME.

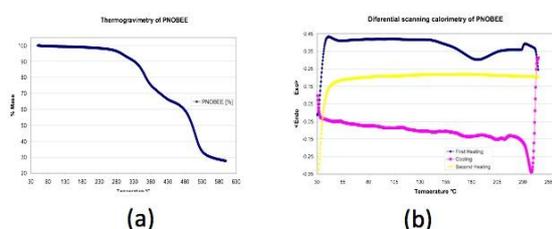
The DSC experiment of PNOBDME, performed at 10°C/min rate (Fig. 17b) shows in the first heating run a glass transition at 62.5°C together

with a small broad endothermic peak centered at 155.7°C. During the cooling, an exothermic peak at 183°C is indicative of crystallization from the mesophase state, higher value than that of PTOBDME (149°C). In the second heating, glass transition is observed at 71.6°C, and two broad and small endothermic peaks at 108.7 and 188.3°C.

In a subsequent experiment PNOBDME was heated of up to 230°C at 10°C/min, cooled to 190°C and isothermally heated for 2 hours at this temperature, cooled to 30°C at 10°C/min, and finally heated again to 230°C, at 10°C/min. The isothermal treatment at 190°C after cooling from 230°C, should have produced an induced crystallization process, and an endothermic peak due to the polymer transition to mesophase should have been observed, however only a small endothermic peak at 109°C is observed not caused by the isothermal cooling. This endothermic transition at 109°C was also confirmed by Microcalorimetry (Fig.17c).

### 3.5.2. Thermal Stability and Differential Scanning Calorimetry of PNOBEE.

PNOBEE thermal stability is given in Fig.18a. A 10% weight loss due to thermal decomposition is observed at 330°C, a higher temperature than that observed for PTOBEE at 280°C [4] and PNOBDME at 310°C. The substitution of the ester group in the mesogen by amide caused the increase of thermal stability with respect to PTOBEE.



**Figure 18.** (a) The thermogravimetric curve of PNOBEE first fraction; (b) DSC analysis of PNOBEE first fraction.

In the DSC experiment performed at 10°C/min rate (Fig. 18b) a glass transition is observed during the first run, around 55°C, and very broad endothermic peak centered at 185,3°C interpreted as the fusion due to transition from crystal to liquid-crystal, another endothermic peak at 233,7°C is observed near the beginning of thermal decomposition; in the cooling run two small exothermic peaks appear at 205,6°C and 183,0°C due to crystallization processes from the mesophase state. In the second heating, no transition is observed.

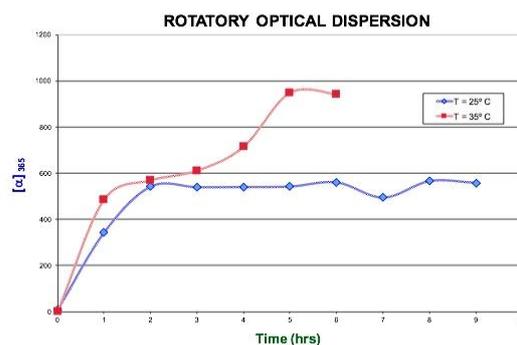
Compared with PTOBEE, with the transition to mesophase at 149°C and with

exothermic crystal formation at 110°C during isothermal heating, a remarkable difference is observed due to the substitution of ester by amide groups in the mesogen.

### 3.6. Optical characterization.

Although synthesized from starting racemic materials PNOBDME showed unexpected chirality. The first fraction of the polymer did not show a net optical activity but values changing from positive to negative, but the second fraction presented a low but constant value +1.02°, at 598 nm; +1.65°, at 579 nm; and +2.9°, at 435 nm, and very high optical activity between +600° or +950°, at 365 nm, depending on the temperature. This behavior was also observed in PTOBDME and PTOBEE.

Optical Rotatory Dispersion (ORD) values ( $\alpha$ ) of second fraction of PNOBDME are expressed (Fig. 19) as Molar Optical Rotation  $[\Phi] = [\alpha] M/100$ , being M the molecular weight of the polymer repeating unit, a function of time, at 365 nm, at two different temperatures: 35°C and 25°C.



**Figure 19.** Optical Rotatory Dispersion (ORD) of PNOBDME second fraction at 25°C and at 35°C.

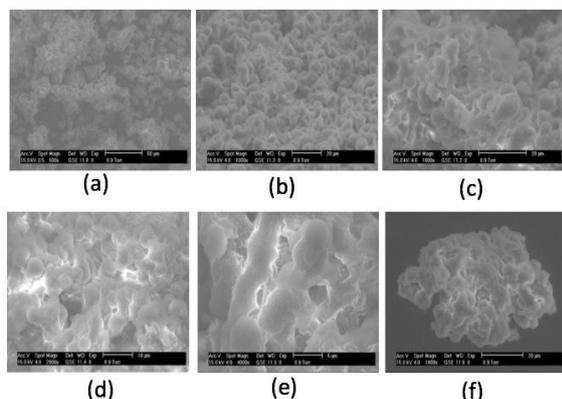
At 35°C the ORD of PNOBDME increases with time to a approximate value of 600°, which remains between 90 to 180 minutes. After that it increases again to reach a value of 950°, after 360 minutes getting stabilized. At 25°C, after 120 minutes the ORD reaches a value of 600° that was maintained up to 9 hours.

In both cases, once the ORD value was stabilized to 600° and 950° respectively, if the lamp wavelength was changed to 435 nm and quickly returned to 365 nm, the ORD value initially decreased to +8.6 and recovered its value. This phenomenon could be totally reversible. The variation of ORD with time has been described in helical polyguanidines synthesized starting from chiral monomers or starting achiral monomers with chiral catalysts [27, 28, 29 -31].

Optical characterization of PNOBEE. At the end of this article, the optical activity of PNOBEE has not been studied.

### 3. 7. Morphology.

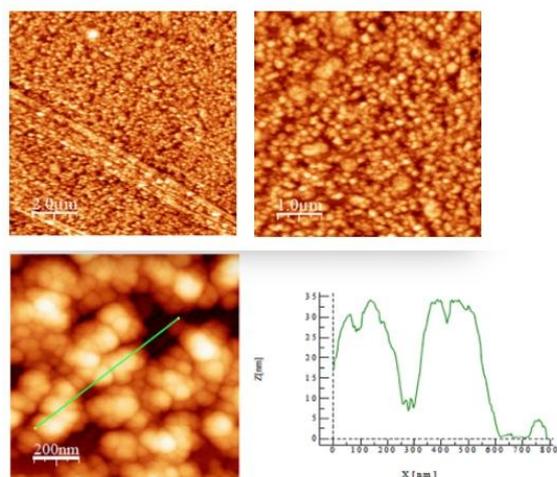
The morphology of powdered PNOBDME without any previous treatment has been studied by SEM. Four details are shown (Fig.20) of the homogeneous spherical clusters of about 5  $\mu\text{m}$  in diameter homogeneously dispersed.



**Figure 20.** SEM images of powdered PNOBDME.

When dispersed in aqueous solution, their helical molecules self-organize on metal and semiconductor surfaces, such as: Si (111), Pt / TiO<sub>2</sub> / SiO<sub>2</sub> / Si (001), Ag, Au [9].

By dip coating technique, both polymers assemble on Si(100) substrates, previously washed with (Cl<sub>3</sub>Et + acetone + methanol + deionized H<sub>2</sub>O) and with (NH<sub>4</sub>F/FH) to eliminate oxide. After 3h, and 21h, AFM (Fig. 21) shows round clusters about 5 nm thick, deposited in conglomerates about 300 nm, 35 nm high.



**Figure 21.** AFM pictures of PNOBDME deposited on Si(100) substrate by dip coating.

## IV. CONCLUSIONS

The synthetic methods of two new multifunctional cholesteric liquid crystal

polyesteramides designed as PNOBDME: (C<sub>34</sub>H<sub>38</sub>N<sub>2</sub>O<sub>6</sub>)<sub>n</sub> and PNOBEE (C<sub>26</sub>H<sub>22</sub>N<sub>2</sub>O<sub>6</sub>)<sub>n</sub> are reported and their characterization by <sup>1</sup>H and <sup>13</sup>C-NMR, COSY and HSQC, is stated and compared to their precursor polyesters PTOBDME and PTOBEE. Molecular models of the new polymers show helical polymeric chains.

The NMR analysis, in agreement with the Molecular Mechanics models, let us conclude that the enantiomeric polymer chains present stereo regular head-tail, isotactic structure, explained in terms of the higher reactivity of the primary hydroxyl with respect to the secondary one in the glycol through the polycondensation reaction.

In agreement with our previous experience, each enantiomer, with two independent sets of signals observed by <sup>1</sup>H and <sup>13</sup>C-NMR, differentiated with an apostrophe ( ' ) and without it ( ), could be attributed to two diastereomeric conformers: gg and gt, related with two possible staggered conformations of the torsion angle  $\phi$ , along <sup>11</sup>C-<sup>12</sup>C\* bond in PNOBDME and <sup>3</sup>C-<sup>4</sup>C\* bond in PNOBEE, respectively, with asymmetric carbon atoms (<sup>12</sup>C\*) and (<sup>4</sup>C\*) in the secondary alcohol group, along the copolymer backbone, with two possible helical screw sense of the polymer chain, for all the studied polymers. Chirality in racemic precursor PTOBDME was proposed to be due to the kinetic resolution of a preferable helical diastereomer, such as Sgt, with respect to the possible four forms, while the R/S ratio of asymmetric carbon atoms remained 50:50.

The thermal behavior of the synthesized liquid crystal polyesteramides, studied by TG and DSC analysis, show an endothermic peak assigned to the first order transition from the crystalline phase to liquid crystal mesophase.

Optical ORD values are provided for polyesteramide PNOBDME. The unexpected chirality is evaluated. The first fraction of the polymer did not show a net optical activity but values changing from positive to negative, but the second fraction presented a low but constant value +1.02°, at 598 nm; +1.65°, at 579 nm; and +2.9°, at 435 nm, and very high optical activity +600° to +950°, at 579 nm, when increasing temperature from 25C to 35C.

Morphology of powder PNOBDME is reported by ESEM, showing the homogeneous spherical clusters of about 5  $\mu\text{m}$  in diameter homogeneously dispersed.

By dip coating, PNOBDME self-organize in round nanoclusters about 5 nm thick, observed by AFM, after 3h and 21h grown on Si(100) substrate, deposited in conglomerates about 300 nm, and 35 nm high.

The synthetic cholesteric liquid crystal polyesteramides described here are similar to new

cationic cholesteric liquid crystal polymers also synthesized in our lab [33].

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