Performance Levels of RC Structures by Non-Linear Pushover Analysis

Rakesh Yadav*, Dr. Trilok Gupta** and Dr. Ravi Kr. Sharma***
* (M.Tech student, Department of Civil Engineering, College of Technology and Engineering, MPUAT, Udaipur, Email: rakeshyadav1927@gmail.com)
** (Assistant Professor, Department of Civil Engineering, College of Technology and Engineering, MPUAT, Udaipur)
*** (Professor, Department of Civil Engineering, College of Technology and Engineering, MPUAT, Udaipur)

ABSTRACT
In the recent earthquakes in which many concrete structures have been severely damaged or collapsed, have indicated the need for evaluating the seismic adequacy of existing buildings. About 60% of the land area of our country is susceptible to damaging levels of seismic hazard. We can’t avoid future earthquakes, but preparedness and safe building construction practices can certainly reduce the extent of damage and loss. In order to strengthen and resist the buildings for future earthquakes, the behavior of a building during earthquakes depends critically on its overall shape, size and geometry. The nonlinear pushover analysis is becoming a popular tool for seismic performance evaluation of existing and new structures. The weak zones in the structure can be examined by conducting this push over analysis and then it will be decided whether the particular part is to be retrofitted or rehabilitated according to the requirement. This method determines the base shear capacity of the building and performance levels of each part of building under varying intensity of seismic force. The results of effects of different plan on seismic response of buildings have been presented in terms of displacement, base shear and plastic hinge pattern

Keywords: Pushover analysis, Seismic performance, Performance level, Target displacement, Lateral load patterns.

I. INTRODUCTION
The earthquake is the vibration of the earth’s surface that follows a sudden release of energy in the crust. Ground surface moves in all directions during earthquake. The most devastating effects on buildings are caused by lateral movements which disturb the stability of the structure, leading to collapse sideways. Since buildings are normally constructed to resist gravity loads, many conventional systems of construction are not inherently resistant to horizontal forces. Strengthening of such buildings have been proved as more economical and viable immediate shelter solution rather than replacement of buildings [1].

Pushover analysis has been the preferred method for seismic performance evaluation of structures by the major rehabilitation guidelines and codes, because it is computationally and conceptually simple. Pushover analysis allows tracing the sequence of yielding and failure of member and structural level and also tracing of the progress of overall capacity curve of the structure [7].

Pushover analysis is a series of incremental static analysis carried out to develop a capacity curve for the building. A target displacement which is an estimate of the global displacement of the structure is determined based on capacity curve, the extent of damage occurrences by the building when subjected to design level ground shaking. Since the behavior of reinforced concrete structures might be highly inelastic under seismic loads, the global inelastic performance of RC structures would be dominated by plastic yielding effects and consequently the accuracy of the pushover analysis would be influenced by the ability of the analytical models to capture this effect [8].

The purpose of the pushover analysis is to evaluate the expected performance of a structural system by estimating its strength and deformation demands in design earthquakes by means of a static inelastic analysis, and comparing these demands to available capacities at the performance levels. The evaluation is based on an assessment of important performance parameters, including global drift, inter-story drift, and inelastic element deformations (either absolute or normalized with respect to a yield value), deformations between element’s, and element and connection forces (for elements and connections that cannot sustain inelastic deformations). The inelastic static pushover analysis can be viewed as a method for predicting seismic force and deformation demands, which accounts in
an approximate manner for the redistribution of internal forces occurring when the structure is subjected to inertia forces that no longer can be resisted within the elastic range of structural behavior [12].

A limit state of damage which may be considered satisfactory for a given building and a given ground motion intensity is known as a performance level. It contains structural and nonstructural performance levels that consider the substantial damage within the building, the safety hazard and the post-earthquake serviceability of the building [14].

In particular, the seismic rehabilitation of older concrete structures in high seismicity areas is a matter of growing concern, since structures venerable to damage must be identified and an acceptable level of safety must be determined. To make such assessment, simplified linear-elastic methods are not adequate. Thus, the structural engineering community has developed a new generation of design and seismic procedures that incorporate performance based structures and are moving away from simplified linear elastic methods towards a more non linear technique [15].

II. PERFORMANCE LEVELS OF THE STRUCTURES

Pushover curve is a graphical representation between base shear along vertical axis and roof displacement along horizontal axis. Performance point of the structure in various stages can be obtained from pushover curve. The various performance levels for a building are expressed in terms of a base shear carried versus roof displacement curve as shown in Fig. 1. The range AB is elastic range, B to IO is the range of immediate occupancy IO to LS is the range of life safety and LS to CP is the range of collapse prevention. When a hinge reaches point C on its force displacement curve that hinge must begin to drop load. If all the hinges are within the CP limit then the structure is still said to be safe. On the contrary, if the hinges formed are beyond CP limit then it is said that the structure collapses. There are five levels of global structural response depending on the permissible amount of damage suffered by the structure when push-over analysis is performed [9].

![Fig. 1 Typical pushover curve with acceptance criteria](chart)

Where,

- IO = Intermediate Occupancy
- LS = Life Safety
- CP = Collapse Prevention

Point ‘A’ corresponds to the unloaded condition.
Point ‘B’ corresponds to the onset of yielding.
Point ‘C’ corresponds to the ultimate strength.
Point ‘D’ corresponds to the residual strength. For the computational stability, it is recommended to specify non-zero residual strength beyond C. In absence of the modeling of the descending branch of a load versus deformation curve, the residual strength can be assumed to be 20% of the yield strength.
Point ‘E’ corresponds to the maximum deformation capacity with the residual strength. To maintain computational stability, a high value of deformation capacity is assumed [9].

2.1 Operational Level (OL)

In the Operational level, the following facts can occur in the structure:
- negligible structural and nonstructural damage.
- occupants are safe during event.
- utilities are available.
- acility is available for immediate re-use.
- losses less than 5% of replacement value [17].

2.2 Immediate Occupancy Performance Level (IO)

Structural performance level, immediate occupancy, means the post-earthquake damage state in which only very limited structural damage has occurred. In this occupancy performance, the risk of life injury and structural damage is very low, and although some minor structural repairs may be appropriate. In the immediate occupancy level, the following facts can occur in the structure:
Within a performance level, collapse prevention, means the building is on the verge of experiencing partial or total collapse. Substantial damage to the structure has occurred, potentially including significant degradation in the stiffness and strength of the lateral force resisting system, large permanent lateral deformation of the structure and to more limited extent degradation in vertical-load-carrying capacity. However, all significant components of the gravity load resisting system must continue to carry their gravity load demands. Significant risk of injury due to falling hazards from structural debris may exist. The structure may not be technically practical to repair and is not safe for re-occupancy, as aftershock activity could induce collapse. In this level, the following fact can occur in the structure:

- negligible structural damage
- occupants are safe during event
- minor nonstructural damage
- building not safe to occupy but may not function
- limited interruption of operations
- losses less than 15% [17].

2.3 Life Safety Performance Level (LS)

Life safety performance level life safety means the post-earthquake damage state in which significant damage to the structure has occurred, but some margin against either partial or total structural collapse remains. Some structural elements and components are severely damaged, but this has not resulted in large falling debris hazards, either within or outside the building. Injuries may occur during the earthquake; however, it is expected that the overall risk of life-threatening injury as a result of structural damage is low. It should be possible to repair the structure; however, for economic reasons this may not be practical. In this level, the following fact can occur in the structure:

- significant structural damage
- some injuries may occur
- extensive nonstructural damage
- building not safe for re-occupancy until repaired
- losses less than 30% [17].

2.4 Collapse Prevention Performance Level (CP)

Structural performance level, collapse prevention, means the building is on the verge of experiencing partial or total collapse. Substantial damage to the structure has occurred, potentially including significant degradation in the stiffness and strength of the lateral force resisting system, large permanent lateral deformation of the structure and to more limited extent degradation in vertical-load-carrying capacity. However, all significant components of the gravity load resisting system must continue to carry their gravity load demands. Significant risk of injury due to falling hazards from structural debris may exist. The structure may not be technically practical to repair and is not safe for re-occupancy, as aftershock activity could induce collapse. In this level, the following fact can occur in the structure:

- extensive (near complete) structural and nonstructural damage
- significant potential for injury but not wide scale loss of life
- extended loss of use
- repair may not be practical
- losses greater than 30% [17].

III. TARGET DISPLACEMENT

The target displacement serves as an estimate of the global displacement of the structure is expected to experience in a design earthquake. It is the roof displacement of the structure. In the pushover analysis it is assumed that the target displacement for the MDOF (Multi degree of freedom) structure can be estimated as the displacement demand for the corresponding equivalent SDOF (Single degree of freedom) system transformed to the SDOF (Single degree of freedom) domain through the use of a shape factor. The target displacement is intended to represent the maximum displacement likely to be experienced during the design earthquake. The target displacement, $\delta_t$, is determined using the equation given below [19].

$$\delta_t = C_0 C_1 C_2 C_3 S_a \left[ T_e^2 / 2 \right] g$$

Where,

- $S_T = T_o (vK/K_o)$
- $C_0$ is modification factor to relate spectral displacement of an equivalent SDOF (Single degree of freedom) system to roof displacement of the building MDOF (Multi degree of freedom) system.
- $C_1$ is modification factor to relate expected inelastic displacements to displacements for linear elastic response.
- $C_2$ is modification factor to represent the effect of pinched hysteretic shape, stiffness degradation and strength deterioration on maximum displacement response.
- $C_3$ is modification factor to increased displacements due to P-Δ effects.
- $S_a$ is response spectrum acceleration at the effective fundamental period and damping ratio.
- $g$ is acceleration of gravity.
- $T_e$ is effective fundamental period of building in the direction under consideration [19].

IV. LATERAL LOAD PATTERNS

For a performance level evaluation, the load pattern selection is likely to be more critical than accurate determination of the target displacement. The load patterns are intended to represent and bound, the distribution of inertia forces.
in design earthquakes, it is clear that the distribution of inertia forces will vary with the time and severity of the earthquake. If invariant load patterns are used than the distribution of inertia forces will be reasonably constant throughout the earthquake and maximum deformations obtained when the structure response is not severely affected by higher mode effects and when the structure detected under single load yielding mechanism. One should be uniform load pattern (story forces proportional to story masses), which emphasizes the demands in lower stories compared to the demands in upper stories and magnifies the relative importance of story shear forces compared to the overturning moment. Other load pattern is derived from SRSS story shears [12].

V. SEISMIC PERFORMANCE OF RC BUILDINGS BY PUSHOVER ANALYSIS

Alashker et al. [1] analyzed the effects of building configuration on seismic performance of RC buildings by pushover analysis. They examined four buildings in zone III with different plans of aspect ratio of 1, 1.5, 2 and 4 having the overall plan dimensions are 20 m × 20 m, 25 m × 16 m, 28.5 m × 14 m and 40 m × 10 m having same area of 400 m². The buildings are five-story with height of 15.2 m. Columns and beams sizes are 500 × 300 mm. The results are compared in terms of base shear, displacement and plastic hinge pattern to evaluate the effects of different plan aspect ratio on the performance level of buildings. They found maximum base shear has been found in case 4 (building plan area 40m x 10m with plan aspect ratio of 4) however, case 2 (building plan area 25 m × 16 m, with plan aspect ratio of 1.5) shows the least value of base shear in X-direction and Y-directions. Moreover, displacement value has been found same in first three cases except the case 4 where results show the maximum displacement in X-direction. Also they observed the number of hinges (formed at different levels of performance of building) increases with increase in plan aspect ratio.
Fig. 6 Comparison of displacement in X & Y-directions. [1]

Kalibhat et al. [9] analyzed the seismic performance of RC frames with vertical stiffness irregularity from pushover analysis. They examined six models of 4-bay with 5m spacing and 4-storey with 2.5 m height 2-D RC frames for zone III & V. They treated model-1 as a benchmark frame as there is no vertical irregularity in it and the degree of vertical irregularity is increased from model 2 to model 6. They observed the point of intersection between response capacity curve and demand capacity curve known as performance point of the structure also observed the performance point shifts with different zones that indicate the vulnerability of the structures in the form of severity of plastic hinge formation. The plastic hinge formation increases from model 2 to model 6 wherein the frame becomes more and more asymmetric in elevation. This indicates that the asymmetry in elevation of the building increases the severity of lateral forces on the buildings.

Fig. 7 Performance point of model-1 [9]

Fig. 8 Performance point of model-2 [9]

Fig. 9 Performance point of model-3 [9]

Fig. 10 Performance point of model-4 [9]

Fig. 11 Performance point of model-5 [9]
Naik et al. [16] conducted seismic performance evaluation of reinforced concrete frames with irregular elevations using nonlinear static pushover analysis. Multi storey reinforced concrete frames with irregular elevation subjected seismic loads are taken. Irregularity in elevation was introduced in terms of percentage reduction in height such as 20%, 40% and 60%. The frame was designed as per guidelines of IS 456:2000. Based on the obtained results, it was concluded that as the percentage of irregularity in elevation increases the base shear decreases, thus reducing the lateral load carrying capacity of the structure. Hence utmost care should be taken by the structural engineers while designing the irregular structure. There is significant decrease in performance of structure in respect of responses such as lateral displacement, storey drift, and storey, though the deformation is increasing due to formation of collapse mechanism. The analysis shows that, the seismic performance is very much dependent on the mass, stiffness, strength regularity and ductile or non-ductile behavior.

Prashant and Kori [19] analyzed the seismic response of RC frame building (27 degree sloped with ground) with soft storey. They analyzed G+9 storey’s building of plan dimension 35mx35m with a floor to floor height of 3.5m and designed for gravity loads only are evaluated for seismic load combination as per IS: 1893-2002. The buildings found to be inadequate in carrying the seismic load combination. The non-ductile MRF (Moment resisting frame) buildings of G+9 storey’s satisfying the gravity load combinations are analyzed by pushover analysis methods, it is observed that the natural time periods obtained from the code are less than that of analysis results and their variation is shown in Fig. 14 and 15. The time period and base shear of Model 1 is 137%, 118%, 110%, 96 %, 134%, 113% and also 362%, 269%, 276%, 230%, 319%, 240% more than that of Model 2, Model 3, Model 4, Model 5, Model 6 and Model 7 respectively.
Prashant and Kori [19] evaluated hinge statuses at failure modes. As the buildings are less stiff along X-direction, when building pushed in the Push-X direction more number of hinges are formed. Along Y-direction for Push-Y the hinges formed in all models are in the range of IO-LS, also It is observed that the number of hinges formed at target displacement level in soft storey 4 & 5 model, are in >E range, but the two fully infilled models and two corner infill models reduces these hinges to D-E range as shown in Table 1.

Table 1 Hinge status at target displacement [19]

<table>
<thead>
<tr>
<th>Model</th>
<th>Displacement (mm)</th>
<th>Hinge status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model-1</td>
<td>203</td>
<td>&gt;E</td>
</tr>
<tr>
<td>Model-2</td>
<td>102</td>
<td>D to E</td>
</tr>
<tr>
<td>Model-3</td>
<td>108</td>
<td>D to E</td>
</tr>
<tr>
<td>Model-4</td>
<td>107</td>
<td>&gt;E</td>
</tr>
<tr>
<td>Model-5</td>
<td>120</td>
<td>&gt;E</td>
</tr>
<tr>
<td>Model-6</td>
<td>103</td>
<td>D to E</td>
</tr>
<tr>
<td>Model-7</td>
<td>112</td>
<td>D to E</td>
</tr>
</tbody>
</table>

VI. SUMMARY

The use of non-linear pushover analysis has been broadly investigated in recent years. This review paper has presented aspects of static pushover analysis promises to be a useful and effective tool for performance levels of the structure, which could be summarized and concluded as [1].
1. The building with plan aspect ratio 1.5 shows the least base shear in both directions, thereafter base shear significantly increases with increase in plan aspect ratio [1].
2. Increasing plan aspect ratio makes the Y direction of building more vulnerable to damage during earthquake [1].
3. By increasing plan aspect ratio, the total number of hinges formed at different performance levels also increases, which may lead to building deficiency of resisting seismic loads [1].
4. Structure becomes vulnerable with increase in vertical irregularity [9].
5. With increase in vertical irregularity the percentage of plastic hinges crossing elastic limit increase, rendering the structure more vulnerable [9].
6. Vulnerability of the structure depends on the Zone in which structure is located. Therefore utmost care should be taken while designing irregular structure in high earthquake prone regions [9].
7. The storey drift of soft storey is effectively minimized by adding masonry infill walls in the ground storey [15].
8. From the study it is concluded that, the plastic hinges are more in case of bare frame model, where the stiffness of walls are neglected and also the plastic hinges are more in the soft storey building when it is compared with full infill or corner infill models. This is because of lack of stiffness in the ground storey of the building [15].
9. The lateral displacements of the soft storey shows the abrupt change in the displacement profile at storey 1, which indicates the stiffness irregularity due to soft storey mechanism and increases vulnerability towards seismic forces [15].
10. Time period for bare frame model is almost 90 to 135 percent more, when compared to other models [19].
11. The base shear of infill models is almost 250 percent more when compared to bare frame model [19].

REFERENCES

from pushover analysis, IOSR Journal of Mechanical and Civil Engineering 61-66.


