

Applications and Properties of Unique Coloring of Graphs

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ABSTRACT

This paper studies the concepts of origin of uniquely colorable graphs, general results about unique vertex colorings, assorted results about uniquely colorable graphs, complexity results for unique coloring
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I. ORIGIN OF UNIQUE COLORING

The origin of unique coloring appears to have been, perhaps surprisingly, in the field of psychology. There the problem of a signed graph was introduced, together with a coloring of signed graphs, to model a problem in that field [4]. A signed graph S is a ordered pair $(G; \sigma)$, where G is an undirected graph and σ is a function: $E(G) \rightarrow \{-1, 1\}$. These signed graphs are used in psychology to model the idea of clusterings. From there the idea of colorings and unique colorings a signed graph, closely related to the normal notion of coloring a graph arose in a 1968 paper of Cartwright and Harary [31] A coloring of a signed graph is a function from the vertex set of G to $\{1; 2, \dots, k\}$ having the property that if x and y are two adjacent vertices in G , then

- 1) If $(\sigma(x,y) = 1$ then $c(x) = c(y)$.
- 2) If $(\sigma(x,y) = -1$ then $c(x) \neq c(y)$.

As usual the set $\{c^{-1}(i) : i \in \{1; 2, \dots, k\}\}$ defines a partition of the vertices of S into color classes. This paper of Cartwright and Harary, as well as a 1967 paper of Gleason and Cartwright [31], established conditions for a signed graph to have a coloring, and introduced the notion of a unique coloring of a signed graph. To wit, a signed graph S is uniquely colorable if there is exactly one partition of S into color classes. Both papers gave fairly simple criterion for a signed graph to be uniquely colorable. In addition introduced the notion of unique coloring of a "normal" (unsigned) graph G , which is the topic of interest in this thesis.

Under the usual notion of a coloring c of a graph G being a function from the set of vertices to a set of integers (colors) having the property that adjacent vertices receive a different assignment under c , Cartwright and Harary defined a graph G to be uniquely colorable if either G is complete or G has a unique partition of the vertices of G into $t < |V(G)|$ color classes. In this same paper, they

showed that if G has a unique coloring with say t colors, then, in fact $t = \chi(G)$, where $\chi(G)$ is the chromatic number of G , that is, the smallest positive integer s for which there is a coloring of G using exactly s colors.

1.2 General Results about Unique Vertex Colorings

Necessary Conditions for a Graph to be uniquely Colorable

To warm up our understandings of unique coloring we mention some easy necessary consequences of a graph being uniquely vertex-colorable. The first is that the number of colors used in a unique coloring is unique and equals the chromatic number of G .

Proposition 1.2.1. (Cartwright, Harary) If G has a unique coloring with t colors then $t = \chi(G)$.

Proof: We may assume that G is not the complete graph on (G) vertices. Clearly $\chi(G) \leq t \leq |V(G)|$, since a unique coloring is also a proper coloring. If $t > \chi(G)$ then $|V(G)| > \chi(G)$ and for any (G) -coloring c of G , pick a set of vertices $\{x_1, x_2, \dots, x_t\}$ having the property that $c(x_i) = i$. There are at least $t - \chi(G)$ vertices in G other than $\{x_1, \dots, x_t\}$ and these can be assigned colors from $\{\chi(G) + 1; \chi(G) + 2, \dots, t\}$, to get two distinct t -colorings of G .

By this proposition, we may say unambiguously that G is uniquely vertex colorable, and mean that G is uniquely vertex- $(\chi(G))$ -colorable.

Let G be a graph and let $c : V(G) \rightarrow \{1, 2, \dots, k\}$ be a unique vertex- k -coloring of G . For $i, j \in \{1, 2, \dots, k\}$, define $G_{i,j}$ to be the subgraph of G induced by the vertices which c assigns the colors i or j . A very useful necessary condition for G to be uniquely vertex- k -colorable was noticed by Harary et. al. in the following theorem which appears in [30].

Theorem 1.2.2. (Harary, Hedetniemi, Robinson, 1969) *If $c:V(G) \rightarrow \{1,2,\dots,k\}$ is a unique vertex- k -coloring of G , then for all $i \neq j, i, j \in \{1, 2, \dots, k\}$, the graph $G_{i,j}$ is connected.*

Proof: If some $G_{i,j}$ had two or more components, then by interchanging the colors i and j in exactly one of these components, we would arrive at a valid coloring different than c .

Corollary 1.2.3. *Let c be a unique vertex- k -coloring of G , let x be a vertex in $V(G)$ and let $i \in \{1, \dots, k\}$. If $i \neq c(x)$ then there is a vertex $y \in V(G)$ such that x is adjacent to y and $c(y) = i$. In particular, every vertex of G has degree at least $k-1$.*

Proof: Let $u \in V(G)$, let c be a unique vertex- k -coloring of G and let i be a color different from $c(u)$. By Theorem 1.2.2., $G_{i,c(u)}$ is a connected graph, and in particular, u is not an isolated vertex in $G_{i,c(u)}$ because Proposition 1.2.1 insures that some vertex receives the color i . Since there are $k-1$ other colors besides $c(u)$, the minimum degree of G must be at least $k-1$. This completes the proof of Corollary 1.2.3.

Corollary 1.2.4. (Harary et al.) *If G is a uniquely vertex- k -colorable, then G has at least $k-1$ edges.*

Proof: Let V_i be the set of vertices colored i . Theorem 1.2.2 insures that for $1 \leq i < j \leq k$, the graph $G_{i,j}$ with vertex set $V_i \cup V_j$ is connected. Thus $|E(G_{i,j})| \geq |V_i| + |V_j| - 1$. Summing this inequality over all pairs $i \neq j$, we have that $|E(G)|$ which is the desired result.

Corollary 1.2.5. (Geller, Chartrand) *If G is a uniquely vertex-4-colorable simple planar graph, then any drawing of the graph G is a triangulation. Moreover, for $i \neq j$ and $i, j \in \{1; 2; 3; 4\}$, each subgraph $G_{i,j}$ is a tree.*

Proof: By Euler's formula $|E(G)| \leq 3|V(G)|/2$, and from Corollary 1.2.4, $|E(G)| \geq 3|V(G)|/2$, so $|E(G)| = 3|V(G)|/2$. This implies that any drawing of G must be a triangulation. It also implies that equality holds throughout in the proof of the Corollary 1.2.4, so . Since $G_{i,j}$ is connected, it follows that $G_{i,j}$ is a tree. This completes the proof of the corollary.

II. ASSORTED RESULTS ABOUT UNIQUELY COLORABLE GRAPHS

A function $f: V(G) \rightarrow V(G')$ is said to be a homomorphism of the graph G into the graph G' if it preserves adjacency of vertices, that is, if $\{x,y\} \in E(G)$ implies $\{f(x);f(y)\} \in E(G')$. If it is true that for

every pair of vertices x', y', x' is adjacent to y' in G' if and only if there is a pair x, y of adjacent vertices in G such that $f(x) = x'$ and $f(y) = y'$, then f is said to be a homomorphism of G onto G' , and G' is said to be a *homomorphism image* of G . The following propositions appear in [32].

Proposition 2.1.1. *If G is uniquely vertex- k -colorable and H is a homomorphism image of G such that $\chi(H) = k$, then H is uniquely vertex- k -colorable .*

Proposition 2.1.2. *If G is uniquely vertex- k -colorable then G is $(k-1)$ -connected.*

Proof: Let A be a set with $|A| \leq k-2$, let c be a unique vertex- k -coloring of G , and let $x, y \in V(G) \setminus A$. There are two distinct colors $i, j \in \{1, \dots, k\}$ such that no vertex of A has a vertex colored i or j by c . Therefore, . By Corollary 1.2.3, there are vertices u_x and u_y such that x is adjacent to u_x , y is adjacent to u_y , and $c(u_x) = c(u_y) = i$. Since $G_{i,j}$ is connected, there is a path P in $G_{i,j}$ joining u_x to u_y and thus there is a path in GA joining x and y . Thus, GA is connected. This completes the proof of the proposition.

III. COMPLEXITY RESULTS FOR UNIQUE COLORING

The following proposition is obvious.

Proposition 3.1.1. *A graph is uniquely vertex-1-colorable if and only if it consists of isolated vertices. A graph is uniquely vertex-2-colorable if and only if it is a connected bipartite graph.*

Beyond this there is not much hope of finding a "good" characterization of arbitrary uniquely vertex- k -colorable graphs when $k \geq 3$ because of the following complexity results contained in or implied by the work of Dailey in 1981 [33]

Theorem 3.1.2. *The following decision problems are NP-Complete:*

1. *Given a graph G and a vertex- k -coloring c of G , is there a vertex- k -coloring c' of G that is not equivalent to c ?*
2. *Given an integer k and a graph G , does G have either 0 or at least 2 vertex- k -colorings?*

The result of Dailey probably dooms any possibility of a polynomial time algorithm for problems 1) or 2) above. In [31], the authors pose the question of whether there is a polynomial time algorithm for deciding whether a given planar graph is uniquely vertex-3-colorable. This problem is still open as far as this author knows.

IV. A SUFFICIENT CONDITION FOR DETERMINING UNIQUE VERTEX-K-COLORABILITY

The following sufficient condition for a graph to be uniquely vertex-k-colorable was given by Bollobas in [14].

Theorem 4.1.1. *Let k be an integer greater than one, let G be a vertex- k -colorable graph on n vertices, and let (G) denote the minimum degree of G . If then G is uniquely vertex- k -colorable . Moreover, if G has a vertex- k -coloring in which G_{ij} is connected for every $1 \leq i < j \leq k$, and, then G is uniquely vertex- k -colorable. These results are best possible.*

This was generalized by Dmitriev according to a review of [15]. As we can see, this condition will apply only to very dense graphs.

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